

On the LMO functor constructed by

20160331

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Contents

① Notation

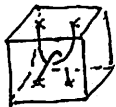
② q -tangles in $[-1, 1]^3$ $\xrightarrow{\text{Kontsevich int. } \widehat{\mathbb{Z}}}$ Jacobi diagrams based on 1-mfd
 \downarrow generalize

q -tangles in homology cubes $\xrightarrow{\text{LMO-Kontsevich integral } \mathbb{Z}}$ \cong

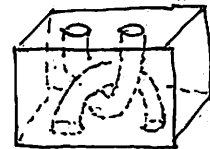
③ bottom-top tangles in homology cubes

$D \sim =$

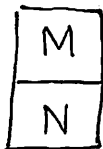
Lagrangian cobordisms between cpt surfaces



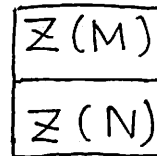
\downarrow ② ③
not homo.



Lagrangian q -cobordisms $\xrightarrow{\mathbb{Z} \circ D^{-1}}$ Jacobi diagrams based on 1-mfd



$\mapsto \otimes \neq$



\downarrow modify

④ Lagrangian q -cobordisms $\xrightarrow[\text{homo}]{\text{monoid } \widehat{\mathbb{Z}}}$ top-substantial Jacobi diagrams

⑤ $(\underbrace{I(F_q)}_{\text{Torelli grp}}) \wr \text{Cob}_q(q, q) \xrightarrow{\widehat{\mathbb{Z}}} \text{tsA}(q, q)$

tree part \swarrow

Johnson homo.

\searrow loop part

finite type inv.

e.g. Casson inv.

Reference

[CHM]: D. Cheptea, H. Habiro, G. Massuyeau, "A functorial LMO invariant for Lagrangian Cobordisms", *Geom. Topol.* 12 (2008), 1091-1170

[BGRT1]: D. Bar-Natan, S. Garoufalidis, L. Rozansky, D.P. Thurston, "The Århus integral of rational homology 3-spheres I", *Selecta Math. (N.S.)* 8 (2002), 315-339

[BGRT2]: D. Bar-Natan, S. Garoufalidis, L. Rozansky, D.P. Thurston, "The Århus integral of rational homology 3-spheres II", *Selecta Math. (N.S.)* (2002), 341-371

[CDM]: S. Chmutov, S. Duzhin, J. Mostovoy, "Introduction to Vassiliev knot invariants", Cambridge University Press, 2012

[JMM]: D.M. Jackson, I. Moffatt, A. Morales, "On the group-like behavior of the Le-Murakami-Ohtsuki invariant", *J. Knot Theory Ramifications* 16 (2007), 699-718

[LM]: Representation of the category of tangles by Kontsevich's iterated integral, *Comm. Math. Phys.* 168 (1995), 535-562

[O]: T. Ohtsuki, "Quantum invariants", *Series on Knots and Everything* 29, World Scientific Publishing Co. (2002)

§ Jacobi diagrams and operations

X : cpt oriented 1-mfd,

C : finite set.

Jacobi diagrams based on (X, C)

D : Jacobi diagram based on (X, C)

$\Leftrightarrow D$ is a uni-trivalent graph

def

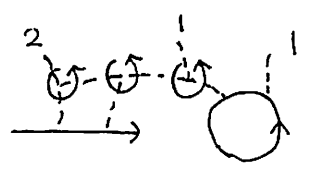
whose univalent vertices are either embedded into X

or colored with elements of C

and trivalent vertices are oriented.

Example

$X = \rightarrow \perp \circlearrowright$, $C = \{1, 2\}$.



$A(X, C)$

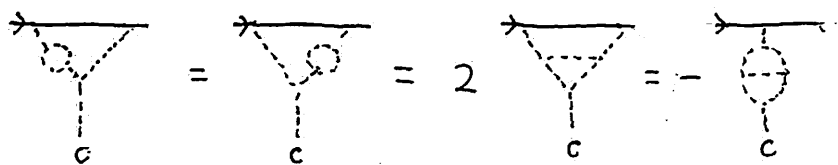
$A(X, C) = \frac{\mathbb{Q} \{ \text{Jacobi diagrams based on } (X, C) \}}{AS, IHX, STU}$; the space of Jacobi diagrams.

AS

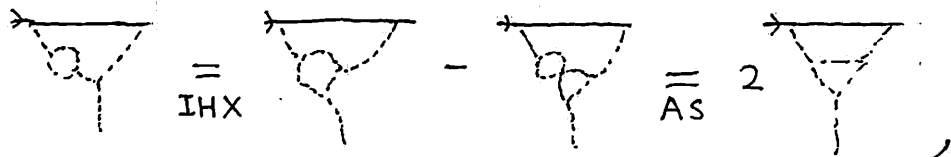
IHX

STU

Example



⊙

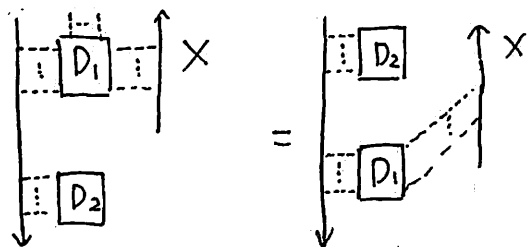


Lemma (see [0] Prop 6.1)

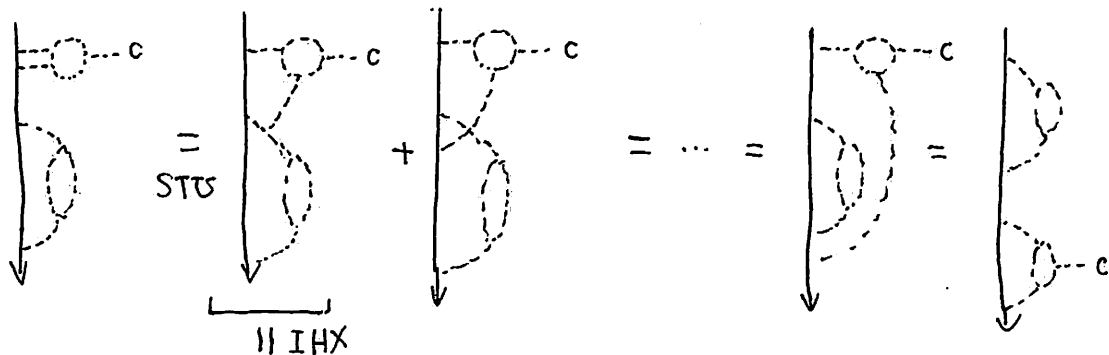
D_1 : a Jacobi diagram based on $(X \uparrow, c)$,

D_2 : based on \uparrow .

Then, in $A(X \sqcup \uparrow, c)$,



Example

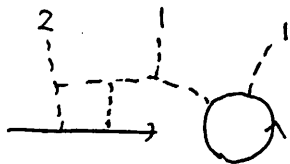


|| IHX

degree of Jacobi diagrams

$i\text{-deg} = \# \text{ trivalent vertices,}$
 $e\text{-deg} = \# \text{ univalent vertices,}$
 $\text{deg} = \frac{(i\text{-deg}) + (e\text{-deg})}{2} .$

example



$i\text{-deg} = 4$
 $e\text{-deg} = 8$
 $\text{deg} = \frac{4+8}{2} = 6 .$

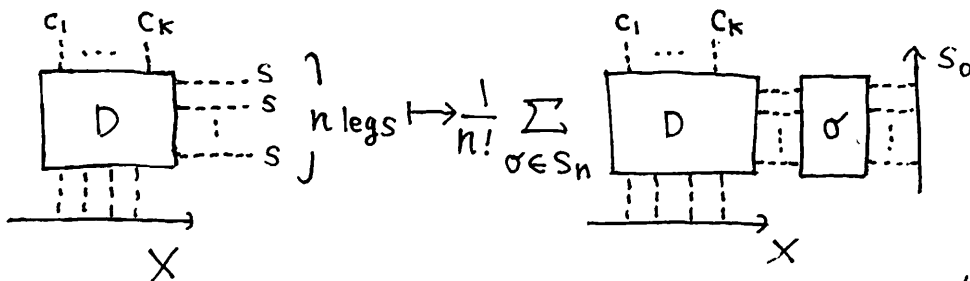
Rem

The AS and IHX relations preserve $i\text{-deg}$, $e\text{-deg}$ and deg .
 The STU relation preserves deg .

In the following, we consider the degree completion of $\mathcal{A}(X, C)$, and denote it also by $\mathcal{A}(X, C)$.

$\chi_S : \mathcal{A}(X, C \sqcup \{s\}) \rightarrow \mathcal{A}(X \uparrow^S, C)$

$\mathcal{A}(X, C \sqcup \{s\}) \xrightarrow{\chi_S} \mathcal{A}(X \uparrow^S, C)$

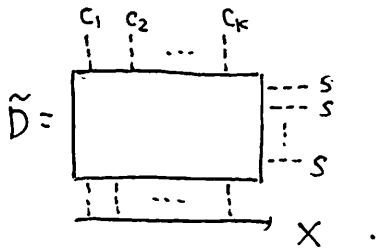
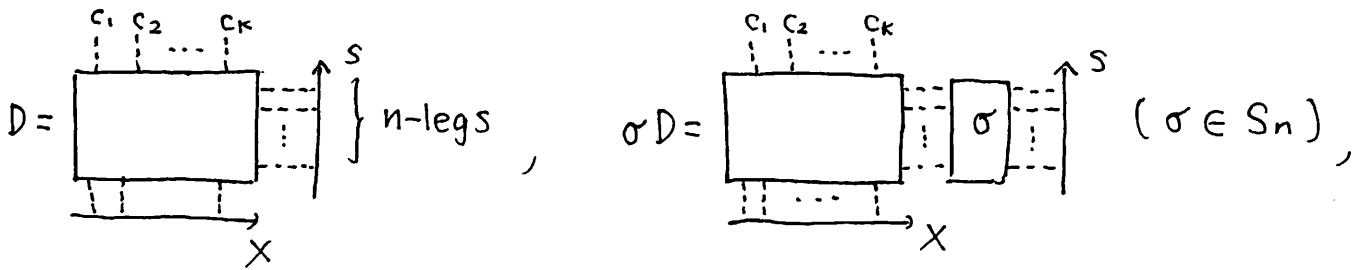


where $\boxed{(12)}$ = , $\boxed{(123)}$ = , and so on.

For $S = \{s_1, s_2, \dots, s_n\}$, we denote $\chi_S = \chi_{s_1} \circ \chi_{s_2} \circ \dots \circ \chi_{s_n}$.

Lemma

Let D be a Jacobi diagram based on $(X \uparrow^S, c)$ with n -legs attached to \uparrow^S .



(1) For $\sigma \in S_n$, $D - \sigma D \in \mathcal{A}(X \uparrow^S, c)$ is represented by a sum $\Gamma_\sigma(D)$ of Jacobi diagrams with at most $(n-1)$ -legs attached to \uparrow^S .

(2) Define, for a Jacobi diagram D with at most n -legs are attached to \uparrow^S ,

$$\tau_n(D) = \begin{cases} \tilde{D} + \frac{1}{n!} \sum_{\sigma \in S_n} \tau_{n-1}(\Gamma_\sigma(D)) \in \mathcal{A}(X, C \sqcup \{s\}) & \text{if } \# \text{ attached legs} = n, \\ \tau_{n-1}(D) & \text{if } < n, \end{cases}$$

inductively. $(\tau_1(D) = \tilde{D})$.

Then, $\tau_n(D)$ does not depend on the choice of $\Gamma_\sigma(D)$,

and $\tau: \mathcal{A}(X \uparrow^S, c) \rightarrow \mathcal{A}(X, C \sqcup \{s\})$ defined by

$\tau|_{\# \text{ attached legs} \leq n} = \tau_n$ is the inverse map of

$\chi_s: \mathcal{A}(X, C \sqcup \{s\}) \rightarrow \mathcal{A}(X \uparrow^S, c)$.

Rem

We can also define $\chi_s: \mathcal{A}(X, C \sqcup \{s\}) \rightarrow \mathcal{A}(X \circ^S, c)$,

but it is not an isomorphism. Instead, we have.

$$\begin{array}{ccc} \mathcal{A}(X, C \sqcup \{s\}) & \xrightarrow{\chi_s} & \mathcal{A}(X \uparrow^S, c) \\ \downarrow & & \downarrow \\ \frac{\mathcal{A}(X, C \sqcup \{s\})}{s\text{-link relation}} & \cong & \mathcal{A}(X \circ^S, c) \end{array}$$

Proof [CDM, § 5.7.1](1) When $\sigma = (12)$,

$$D - \sigma D = \begin{array}{c} \square \\ \vdots \\ \vdots \\ \vdots \\ \hline \vdots \\ \vdots \\ \vdots \\ \hline \end{array} - \begin{array}{c} \square \\ \vdots \\ \vdots \\ \vdots \\ \hline \vdots \\ \vdots \\ \vdots \\ \hline \end{array} = \begin{array}{c} \square \\ \vdots \\ \vdots \\ \vdots \\ \hline \vdots \\ \vdots \\ \vdots \\ \hline \end{array} = \Gamma_{(12)}(D)$$

For general $\sigma \in S_n$, σ can be written as a product $\sigma_1 \cdots \sigma_n$ of $\{(i \ i+1) \mid i=1, \dots, n-1\}$.Then, $D - \sigma D = (D - \sigma_1 D) + \sigma_1 (D - \sigma_2 D) + \cdots + \sigma_1 \cdots \sigma_{n-1} (D - \sigma_n D)$.(2) For welldefinedness, see CDM. For a Jacobi diagram D with n -legs attached,

$$\begin{aligned} (\chi \circ \tau)(D) &= \chi \left(\tilde{D} + \frac{1}{n!} \sum_{\sigma \in S_n} \tau_{n-1}(\Gamma_\sigma(D)) \right) \\ &= \frac{1}{n!} \sum_{\sigma \in S_n} \sigma D + \frac{1}{n!} \sum_{\sigma \in S_n} \underbrace{(\chi \circ \tau)(\Gamma_\sigma(D))}_{\substack{\text{induction} \\ \Gamma_\sigma(D)}} \\ &= \frac{1}{n!} \sum_{\sigma \in S_n} \sigma D + \frac{1}{n!} \sum_{\sigma \in S_n} (D - \sigma D) \\ &= D. \quad // \end{aligned}$$

Example

$$\chi_{\{x\}}^{-1} \left(\overline{\text{III}}_x \right) = \overline{\text{III}} + \frac{1}{12} \left(4 \overline{\text{VI}} + 5 \overline{\text{IV}} - 4 \overline{\text{V}} - 6 \overline{\text{II}} \right),$$

$$\odot \tau_3 \left(\overline{\text{III}}_x \right) = \overline{\text{III}} + \frac{1}{3!} \sum_{\sigma \in S_3} \tau_2 \left(\Gamma_{\sigma} \left(\overline{\text{III}}_x \right) \right),$$

$$\Gamma_{(12)} \left(\overline{\text{III}}_x \right) = \overline{\text{III}}_x - \overline{\text{XI}}_x = -\overline{\text{VI}}_x = \frac{1}{2} \overline{\text{VI}}_x,$$

$$\Gamma_{(23)} \left(\overline{\text{III}} \right) = \overline{\text{III}} - \overline{\text{IX}} = -\overline{\text{IV}} = \frac{1}{2} \overline{\text{IV}},$$

$$\begin{aligned} \Gamma_{(13)} \left(\overline{\text{III}} \right) &= (\overline{\text{III}} - \overline{\text{XI}}) + (\overline{\text{XI}} - \overline{\text{X}}) + (\overline{\text{X}} - \overline{\text{X}}) \\ &= -\overline{\text{VI}} - \overline{\text{V}} - \overline{\text{X}} \\ &= \frac{1}{2} \overline{\text{VI}} + \frac{1}{2} \overline{\text{IV}} - \overline{\text{X}} + \frac{1}{2} \overline{\text{V}} \\ &= \frac{1}{2} \overline{\text{VI}} + \overline{\text{IV}} - \overline{\text{X}} + \overline{\text{V}}, \end{aligned}$$

$$\begin{aligned} \Gamma_{(123)} \left(\overline{\text{III}} \right) &= (\overline{\text{III}} - \overline{\text{IX}}) + (\overline{\text{IX}} - \overline{\text{X}}) \\ &= -\overline{\text{IV}} - \overline{\text{V}} \\ &= \frac{1}{2} \overline{\text{IV}} + \frac{1}{2} \overline{\text{VI}} - \overline{\text{X}}, \end{aligned}$$

$$\begin{aligned} \Gamma_{(132)} \left(\overline{\text{III}} \right) &= (\overline{\text{III}} - \overline{\text{XI}}) + (\overline{\text{XI}} - \overline{\text{X}}) \\ &= -\overline{\text{VI}} - \overline{\text{X}} \\ &= \frac{1}{2} \overline{\text{VI}} + \frac{1}{2} \overline{\text{IV}} - \overline{\text{X}}. \end{aligned}$$

$$\begin{aligned} \therefore \chi_{\{x\}}^{-1} \left(\overline{\text{III}} \right) &= \tau_3 \left(\overline{\text{III}} \right) \\ &= \overline{\text{III}} + \frac{1}{6} \tau_2 \left(2 \overline{\text{VI}} + \frac{5}{2} \overline{\text{IV}} - 3 \overline{\text{X}} + \overline{\text{V}} \right) \\ &= \overline{\text{III}} + \frac{1}{6} \left(2 \overline{\text{VI}} - 2 \overline{\text{V}} + \frac{5}{2} \overline{\text{IV}} - \frac{5}{2} \overline{\text{V}} - 3 \overline{\text{X}} + \frac{3}{2} \overline{\text{V}} + \overline{\text{V}} \right) \\ &= \overline{\text{III}} + \frac{1}{12} \left(4 \overline{\text{VI}} + 5 \overline{\text{IV}} - 4 \overline{\text{V}} - 6 \overline{\text{X}} \right), // \end{aligned}$$

comultiplication

Let $\hat{\Delta}: A(\phi, C) \rightarrow A(\phi, C) \otimes A(\phi, C)$ be the map defined by

$$\hat{\Delta}(D) = \sum_{D_1 \# D_2 = D} D_1 \otimes D_2.$$

Rem

$$\alpha \in A(\phi, C)$$

$$\hat{\Delta}(\alpha) = \alpha \otimes \phi + \phi \otimes \alpha \text{ (i.e. } \alpha \text{ is primitive)}$$

$\Leftrightarrow \alpha$ is a linear combination of connected Jacobi diagrams.

Lemma ([0] Lemma 6.10)

$$\alpha \in A(\phi, C).$$

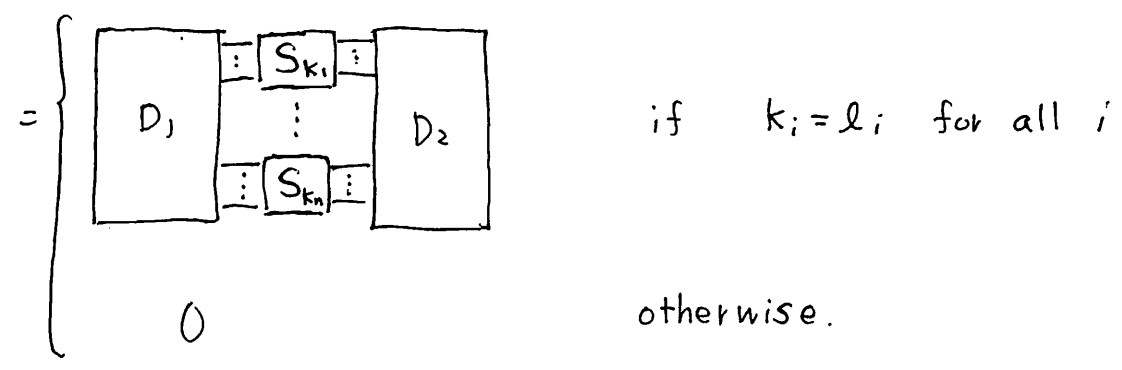
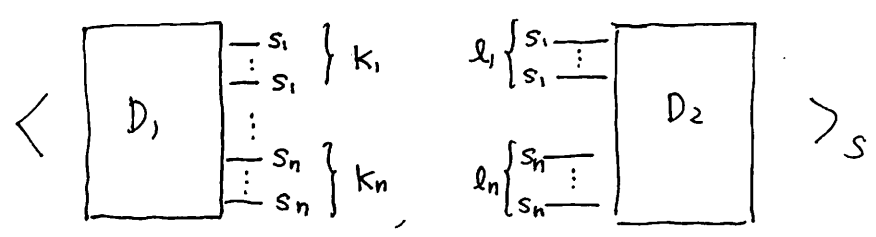
$$\hat{\Delta}(\alpha) = \alpha \otimes \alpha \text{ (i.e. } \alpha \text{ is grouplike)}$$

$\Leftrightarrow \exists \beta \in A(\phi, C)$: linear combination of conn. Jacobi diagrams (primitive)
s.t. $\alpha = \exp \beta$.

\langle, \rangle_s

D_1, D_2 : Jacobi diagrams based on $(X, C \cup S)$,

$S = \{s_1, \dots, s_n\}$, Let us define



For a Jacobi diagram D , we denote $\exp D = [D]$.

Example

$$\begin{bmatrix} c' \\ \vdots \\ c \end{bmatrix} = \sum_{n=0}^{\infty} \frac{1}{n!} \begin{bmatrix} c' & \dots & c' \\ \vdots & & \vdots \\ c & \dots & c \end{bmatrix} \text{ (n times)}, \quad \underline{\begin{bmatrix} c \\ \vdots \\ c \end{bmatrix}} = \sum_{n=0}^{\infty} \frac{1}{n!} \underline{\begin{bmatrix} c & \dots & c \\ \vdots & & \vdots \\ c & \dots & c \end{bmatrix}} \text{ (n times)}.$$

Rem

For $\alpha \in \mathcal{A}(X, \text{cutst})$, and $\chi_S: \mathcal{A}(X, \text{cutst}) \rightarrow \mathcal{A}(X \uparrow^S, C)$,

$$\langle \underbrace{\begin{bmatrix} s \\ i \end{bmatrix}}_S, \alpha \rangle_S = \chi_S(\alpha).$$

Let $C = \{c_1, c_2, \dots, c_n\}$.

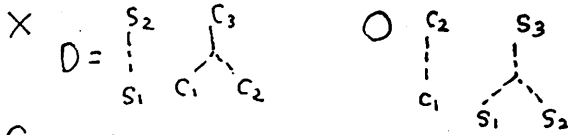
For a rational symmetric $n \times n$ matrix,

$$\text{we denote } [L] = \left[\begin{array}{c|c} \sum_{i,j=1}^n L_{ij} & \begin{matrix} c_j \\ \vdots \\ c_i \end{matrix} \end{array} \right] \in \mathcal{A}(\emptyset, C).$$

S-substantial

A Jacobi diagram D based on (X, CUS) is S-substantial

if it has no strut component both ends are labeled by S.

Gaussian

$G \in \mathcal{A}(X, \text{CUS})$ is Gaussian w.r.t. S

$$\Leftrightarrow G = \left[\begin{array}{c} L \\ 2 \end{array} \right] \perp P, \text{ where}$$

$P \in \mathcal{A}(X, \text{CUS})$: S-substantial,

L : rational symmetric $S \times S$ matrix

formal Gaussian integral

$$G = \left[\begin{array}{c} L \\ 2 \end{array} \right] \perp P : \text{Gaussian w.r.t. S, and } \det L \neq 0.$$

Then, we define

$$\int_S G := \langle \left[-\frac{L^{-1}}{2} \right], P \rangle_S \in \mathcal{A}(X, C).$$

Example

$$L = \begin{pmatrix} 2 & -1 \\ -1 & 0 \end{pmatrix}, \quad P = \begin{array}{c} 1 \\ 2 \end{array} \begin{array}{c} 1 \\ 2 \end{array} + \begin{array}{c} 1 \\ 2 \end{array} \begin{array}{c} c \end{array} \in \mathcal{A}(\emptyset, \text{cut}\{1,2\})$$

$$(L^{-1} = \begin{pmatrix} 0 & 1 \\ 1 & 2 \end{pmatrix})$$

$$G = \left[\begin{array}{c} L \\ 2 \end{array} \right] \perp P.$$

$$\int_{\{1,2\}} G = \langle \left[-\begin{array}{c} 1 \\ 1 \end{array} \right] - \begin{array}{c} 2 \\ 2 \end{array} \right], \begin{array}{c} 1 \\ 2 \end{array} \begin{array}{c} 1 \\ 2 \end{array} + \begin{array}{c} 1 \\ 2 \end{array} \begin{array}{c} c \end{array} \rangle_{\{1,2\}} = \text{diagram} + \text{diagram} + \text{diagram} \cdot c.$$

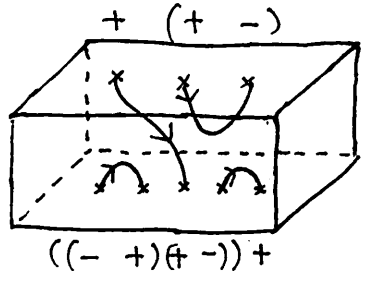
Category of 2-tangles in $[-1, 1]^3$

\mathcal{T}_2 : the (nonstrict monoidal) category of 2-tangles in $[-1, 1]^3$.

object: the free non-associative magma gen. by $\{+, -\}$,

morphism: framed oriented tangles $\gamma \in \mathcal{T}_2(u, v)$

- (1) $\# \partial \gamma = |u| + |v|$,
- (2) $\partial \gamma$ are uniformly distributed along $[-1, 1] \times \{0\} \times \{\pm 1\}$,
- (3) In the top square, γ starts from "+" and ends at "-".
In the bottom square, γ starts from "-" and ends at "-".



composition & tensor product

$$\gamma_1 \circ \gamma_2 = \begin{array}{|c|} \hline \gamma_2 \\ \hline \gamma_1 \\ \hline \end{array}, \quad \gamma_1 \otimes \gamma_2 = \begin{array}{|c|c|} \hline \gamma_1 & \gamma_2 \\ \hline \end{array}.$$

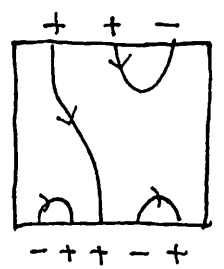
Category of Jacobi diagrams on 1-mfds

(oriented)

\mathcal{A} : the (strict monoidal) category of Jacobi diagrams on 1-mfds.

object: associative words in the letter $\{+, -\}$.

morphism: $\mathcal{A}(u, v) = \bigcup_{\text{corr. to } (u, v)} \mathcal{A}(X)$



Thm [LM]

There exists a tensor-preserving functor

$$\hat{\mathbb{Z}} : \mathcal{T}_g \rightarrow \mathcal{A}$$

$$\gamma \mapsto \hat{\mathbb{Z}}_f(\gamma) \in \mathcal{A}(\gamma, \emptyset).$$

Values of $\hat{\mathbb{Z}}$

$$\hat{\mathbb{Z}} \left(\begin{array}{c} + \quad (+ +) \\ \downarrow \quad \swarrow \quad \downarrow \\ (+ +) \quad + \end{array} \right) = \Phi, \quad \hat{\mathbb{Z}} \left(\begin{array}{c} (+ +) \quad + \\ \downarrow \quad \swarrow \quad \downarrow \\ + \quad (+ +) \end{array} \right) = \Phi^{-1},$$

$$\hat{\mathbb{Z}} \left(\begin{array}{c} (+ +) \\ \swarrow \quad \searrow \\ (+ +) \end{array} \right) = \left[\frac{1}{2} \left(\begin{array}{c} \swarrow \quad \searrow \\ \downarrow \end{array} \right) \right], \quad \hat{\mathbb{Z}} \left(\begin{array}{c} (+ +) \\ \swarrow \quad \searrow \\ (+ +) \end{array} \right) = \left[-\frac{1}{2} \left(\begin{array}{c} \swarrow \quad \searrow \\ \downarrow \end{array} \right) \right],$$

$$\hat{\mathbb{Z}} \left(\begin{array}{c} (+ -) \\ \swarrow \quad \searrow \\ (+ -) \end{array} \right) = \nu^{\frac{1}{2}} \left(\begin{array}{c} \swarrow \quad \searrow \\ \downarrow \end{array} \right), \quad \hat{\mathbb{Z}} \left(\begin{array}{c} (+ -) \\ \swarrow \quad \searrow \\ (+ -) \end{array} \right) = \nu^{\frac{1}{2}} \left(\begin{array}{c} \swarrow \quad \searrow \\ \downarrow \end{array} \right).$$

Since

$$\hat{\mathbb{Z}} \left(\begin{array}{c} + \\ \downarrow \quad \downarrow \\ + \end{array} \right) = \begin{array}{|c|c|} \hline \hat{\mathbb{Z}}(\downarrow) & \hat{\mathbb{Z}}(\downarrow) \\ \hline \hat{\mathbb{Z}} \left(\begin{array}{c} + \quad (- +) \\ \downarrow \quad \swarrow \quad \downarrow \\ (+ -) \quad + \end{array} \right) & \\ \hline \hat{\mathbb{Z}}(\downarrow) & \hat{\mathbb{Z}}(\downarrow) \\ \hline \end{array} = \begin{array}{c} \nu^{\frac{1}{2}} \\ \boxed{S_2 \Phi} \\ \nu^{\frac{1}{2}} \end{array} = \begin{array}{c} \downarrow \\ \boxed{S_2 \Phi} \\ \nu \end{array}$$

$$\parallel$$

$$\hat{\mathbb{Z}} \left(\begin{array}{c} + \\ \downarrow \\ + \end{array} \right)$$

"

\downarrow

we have $\nu = \left(\frac{1}{S_2 \Phi} \right)^{-1} \in \mathcal{A}(\downarrow).$

If we choose a rational and even Drinfeld associator,

$$\Phi = 1 + \frac{1}{24} \left(\begin{array}{c} \downarrow \quad \downarrow \\ \downarrow \end{array} \right) + (\text{deg} \geq 4) \in \mathcal{A}(\downarrow), \quad \nu = 1 + \frac{1}{48} \left(\begin{array}{c} \downarrow \\ \downarrow \end{array} \right) + (\text{deg} \geq 4) \in \mathcal{A}(\downarrow).$$

We modify $\hat{\mathbb{Z}}$, and define $\mathbb{Z} : \mathcal{T}_g \rightarrow \mathcal{A}$ by

$$\mathbb{Z} \left(\begin{array}{c} (+ -) \\ \swarrow \quad \searrow \\ (+ -) \end{array} \right) = \left(\begin{array}{c} \swarrow \quad \searrow \\ \downarrow \end{array} \right), \quad \mathbb{Z} \left(\begin{array}{c} (+ -) \\ \swarrow \quad \searrow \\ (+ -) \end{array} \right) = \left(\begin{array}{c} \swarrow \quad \searrow \\ \downarrow \end{array} \right),$$

and for other elementary g -tangles, $\mathbb{Z} = \hat{\mathbb{Z}}$.

Example

Assume that chosen Drinfeld associator Φ is rational and even.
 Then, $\Phi = 1 + \frac{1}{24} \downarrow \downarrow \downarrow + (\text{deg} \geq 4)$, and

$$\sum (\text{diagram}) = \text{diagram} + \text{diagram} + \frac{1}{2} \text{diagram} + \frac{1}{48} \text{diagram} + \frac{1}{6} \text{diagram} + \frac{1}{24} (\text{diagram} - \text{diagram}) - \frac{1}{48} \text{diagram} + (\text{deg} \geq 4).$$

⊙ Recall that $\Phi = \sum (\downarrow \downarrow \downarrow) = 1 + \frac{1}{24} \downarrow \downarrow \downarrow + (\text{deg} \geq 4) \in \mathcal{A}(\downarrow \downarrow \downarrow, \emptyset)$,

and $\nu = \sum (\cup \cup) = 1 + \frac{1}{48} \cup \cup + (\text{deg} \geq 4) \in \mathcal{A}(\cup \cup)$.

$$\sum (\text{diagram}) = \sum \left(\text{diagram} \right) = \text{diagram with boxes } S_1 S_3 \Phi, [\frac{1}{2}], [\frac{1}{2}], S_2 S_4 \Delta_2 \Phi, S_2 \Phi$$

$$= \text{diagram} + \frac{1}{24} \text{diagram} + \frac{1}{24} \text{diagram} - \frac{1}{24} \text{diagram} - \frac{1}{24} \text{diagram} + \frac{1}{48} \text{diagram} + (\text{deg} \geq 4)$$

$$= \text{diagram} + \text{diagram} + \frac{1}{2} \text{diagram} + \frac{1}{6} \text{diagram}$$

$$+ \frac{1}{24} (\text{diagram} + \text{diagram} + \text{diagram} + \text{diagram} - \text{diagram} - \text{diagram} - \text{diagram} - \text{diagram})$$

$$+ \frac{1}{48} (\text{diagram} + \text{diagram}) + (\text{deg} \geq 4)$$

$$= \text{diagram} + \text{diagram} + \frac{1}{2} \text{diagram} + \frac{1}{48} \text{diagram} + \frac{1}{6} \text{diagram}$$

$$+ \frac{1}{24} (\text{diagram} - \text{diagram} - \text{diagram} + \text{diagram}) - \frac{1}{48} \text{diagram} + (\text{deg} \geq 4)$$

= (RHS). //

Homology cubes

A homology cube is a pair (M, m) , where

M is a cpt conn. oriented 3-mfd s.t. $H_*(M) \cong H_*([-1, 1]^3)$.

$m: \partial[-1, 1]^3 \xrightarrow{\cong} \partial M$: ori-pres. homeo.

Category of \mathcal{B} -tangles in homology cubes

$\mathcal{T}_{\mathcal{B}} \text{Cub}$: the (nonstrict monoidal) category of \mathcal{B} -tangles in homology cubes

object : the free non-ass. magma gen. by $\{+, -\}$,

morphism : framed oriented tangles σ in homology cubes B

$$(B, \sigma) \in \mathcal{T}_{\mathcal{B}} \text{Cub}(u, v)$$

$$(1) \# \partial \sigma = |u| + |v|$$

$$(2) \partial \sigma \text{ are uniformly distributed along } m([-1, 1] \times \{0\} \times \{\pm 1\}).$$

$$(3) \text{ The orientation of } \partial \sigma \text{ corr. to } u \text{ and } v.$$

$$\mathcal{U}_{\pm} \in \mathcal{A}(\emptyset)$$

$$\begin{aligned} \mathcal{U}_{\pm} &= \int \chi_{\mathcal{O}}^{-1} \left(\underbrace{\nu \# \mathcal{Z}(\sigma_{\pm 1})}_{\mathcal{A}(\sigma, \emptyset)} \right) = \int \chi_{\mathcal{O}}^{-1} \left(\left[\pm \frac{1}{2} \right] \right) \\ &= \int \exp \left(\pm \frac{1}{2} \right) \perp (\emptyset + \frac{1}{16} \emptyset + \text{deg} \geq 3) \\ &= \emptyset \mp \frac{1}{16} \emptyset + \text{deg} \geq 3 \in \mathcal{A}(\emptyset). \end{aligned}$$

Normalization

$L \cup \sigma$: a \mathcal{B} -tangle in $[-1, 1]^3$.

We set $\mathcal{Z}(L \cup \sigma) := \nu^{\otimes \pi_0(L)} \#_{\pi_0(L)} \mathcal{Z}(L \cup \sigma) \in \mathcal{A}(L \cup \sigma)$.

Example

$$L = \bigcirc \uparrow = \sigma \subset [-1, 1]^3$$

$$\begin{aligned} \mathcal{Z}(L \cup \sigma) &= \mathcal{Z}(\bigcirc^{\nu}) \perp \mathcal{Z}(\uparrow_+) \\ &= \bigcirc^{\nu^2} \uparrow \in \mathcal{A}(\bigcirc \uparrow). \end{aligned}$$

Surgery presentation

$(B, \mathcal{T}) \in \mathcal{T}_q \text{Cub}(u, v)$.

(L, \mathcal{T}) : a surgery presentation of (B, \mathcal{T}) .

\Leftrightarrow (1) L is a framed oriented link in $[-1, 1]^3$ s.t. $[-1, 1]^3_L = B$,
def

(2) \mathcal{T} is a tangle in $[-1, 1]^3$ s.t.

surgery along L maps $([-1, 1]^3, \mathcal{T})$ to (B, \mathcal{T}) .

Kontsevich-LMO invariant

$(B, \mathcal{T}) \in \mathcal{T}_q \text{Cub}(u, v)$.

$$\mathbb{Z}(B, \mathcal{T}) := \mathcal{U}_+^{-\sigma_+(L)} \mathbb{1} \mathcal{U}_-^{-\sigma_-(L)} \mathbb{1} \int_{\pi_0(L)} \chi_{\pi_0(L)}^{-1} \mathbb{Z}(L \cup \mathcal{T}) \in \mathcal{A}(\mathcal{T}),$$

where (L, \mathcal{T}) is a surgery presentation of (B, \mathcal{T}) ,

$(\sigma_+(L), \sigma_-(L))$ denotes the signature of the linking matrix of L .

Rem

When $B = [-1, 1]^3$ ($L = \emptyset$),

$\mathbb{Z}(B, \mathcal{T}) = \mathbb{Z}(\mathcal{T}) \in \mathcal{A}(\mathcal{T})$: (modified) Kontsevich integral.

When $\mathcal{T} = \emptyset$,

$$\mathbb{Z}(B, \emptyset) = \mathcal{U}_+^{-\sigma_+(L)} \mathbb{1} \mathcal{U}_-^{-\sigma_-(L)} \mathbb{1} \int_{\pi_0(L)} \chi_{\pi_0(L)}^{-1} \mathbb{Z}(L) \in \mathcal{A}(\emptyset)$$

: LMO invariant.

Thm

$\mathbb{Z}(B, \mathcal{T})$ does not depend on the choice of a surgery presentation.

Lemma 3.17

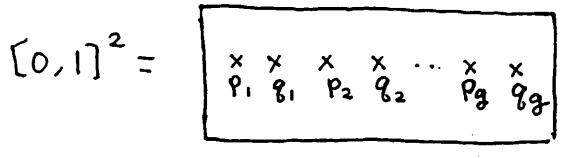
(B, \mathcal{T}) : a bottom-top q -tangle in a homology cube B .

$\chi^{-1} \mathbb{Z}(B, \mathcal{T}) \in \mathcal{A}(\emptyset, \pi_0(\mathcal{T}))$ is grouplike,

and its strut-part is $\left[\frac{Lk_B(\mathcal{T})}{2} \right]$.

bottom-top tangle § bottom-top tangles & Lagrangian cobordism

For $g \geq 1$, take $(2g)$ -points in $[0,1]^2$ as below.

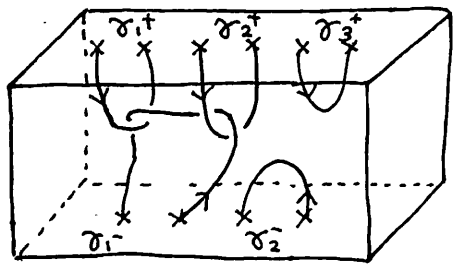


(B, γ) is a bottom-top tangle of type (g_+, g_-)

$\Leftrightarrow B = (B, b)$ is a cobordism from $[0,1]^2$ to $[0,1]^2$, and $\gamma = (\gamma^+, \gamma^-)$ is a framed oriented tangle s.t.

γ_j^+ runs from $p_j \times \{1\}$ to $q_j \times \{1\}$.

γ_j^- runs from $q_j \times \{-1\}$ to $p_j \times \{-1\}$.

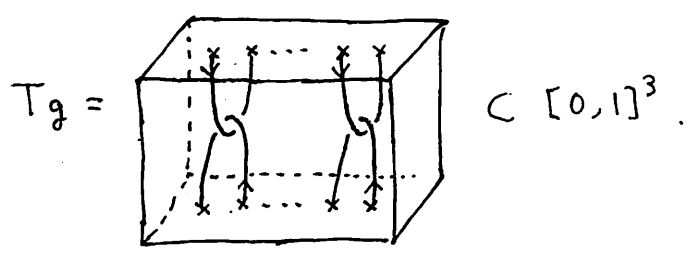


type $(3, 2)$

composition & tensor product

(B, γ) : bottom-top tangle of type (g_+, g_-) ,

(C, ν) : (h_+, h_-) , $g_+ = h_-$.



$(B, \gamma) \circ (C, \nu) =$

$\nu \subset C$
$T_{g_+} \subset [0,1]^3$
$\gamma \subset B$

& surgery along $\gamma^+ \cup T_{g_+} \cup \nu^-$.

Category of bottom-top tangles ${}^t_b \mathcal{T}$

${}^t_b \mathcal{T}$: the (strict monoidal) category of bottom-top tangles

object : $\mathbb{Z}_{\geq 0}$,

morphism : $(B, b) \in {}^t_b \mathcal{T}(g_+, g_-)$: bottom-top tangle of type (g_+, g_-) .

identity

$$\text{id}_g = \text{[Diagram of a cube with four strands and crossings]} \in {}_b^+ \mathcal{T}(g, g)$$

☺

$$(B, \gamma) \circ \text{id}_g = \text{[Diagram of a box with two strands and crossings]} \xrightarrow{\text{surgery}} \text{[Diagram of a box with two strands and dashed curves]} = (B, \gamma)$$

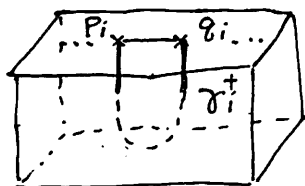
linking matrix

(B, γ) : a bottom-top tangle in a homology cube,

$$\hat{B} := B \cup_b (S^3 \setminus [-1, 1]^3)$$

$$\hat{\gamma}_i^+ = \gamma_i^+ \cup \overline{P_i \gamma_i}$$

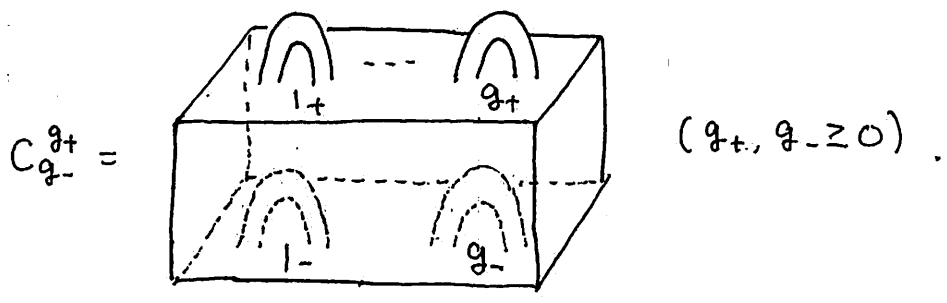
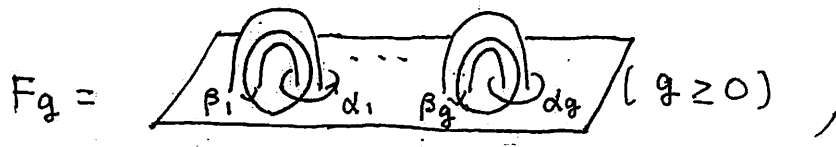
$$\hat{\gamma}_i^- = \gamma_i^- \cup \overline{P_i \gamma_i}$$



Let us define the linking matrix of γ by

$$\text{Lk}_B(\gamma) := \text{Lk}_{\hat{B}}(\hat{\gamma}).$$

cobordism



(M, m) : a cobordism from F_{g_+} to F_{g_-}

$\Leftrightarrow M$: cpt conn. ori. 3-mfd ,

$m : \partial C_{g_+}^{g_-} \xrightarrow{\cong} \partial M$ ori.-pres. homeo .

Category of cobordisms Cob

Cob : the (strict monoidal) category of cobordisms

object : $\mathbb{Z}_{\geq 0}$

morphism : $(M, m) \in \text{Cob}(g_+, g_-)$: a cobordism from F_{g_+} to F_{g_-}

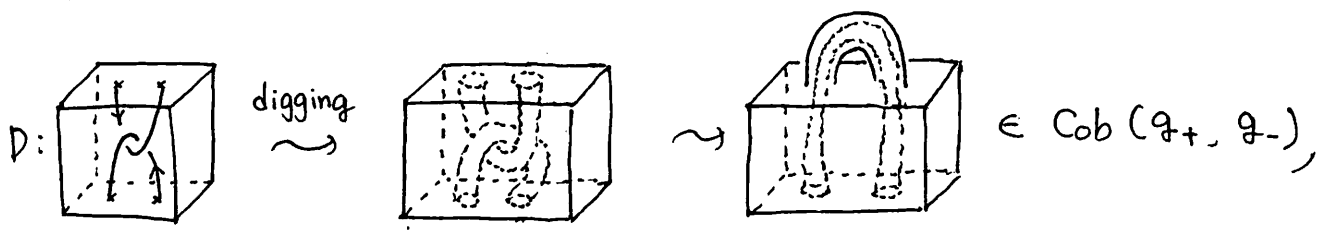
$M \circ N = \begin{array}{|c|} \hline M \\ \hline N \\ \hline \end{array}$, $M \otimes N = \begin{array}{|c|c|} \hline M & N \\ \hline \end{array}$.

Thm 2.10

$\exists D : \mathcal{T}_b^{\pm} \rightarrow \text{Cob} : \text{isomorphism.}$

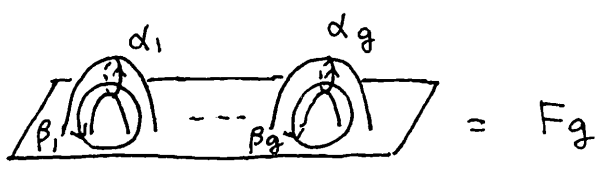
Proof

$(B, \mathcal{T}) \in \mathcal{T}_b^{\pm}(\mathcal{g}_+, \mathcal{g}_-)$



where the markings of $F_{\mathcal{g}_{\pm}}$ are given by the meridians and longitudes of tangles.

$(M, m) \in \text{Cob.}$

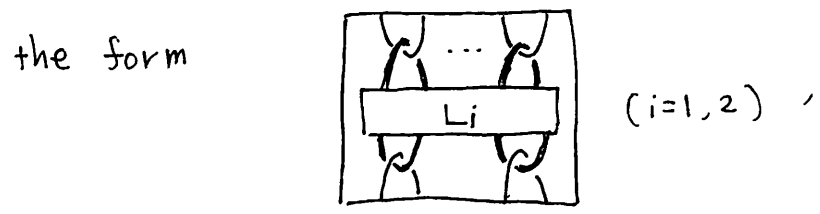


By attaching 2-hdles along $m_-(\alpha_i)$ and $m_+(\beta_j)$ ($1 \leq i \leq \mathcal{g}_-, 1 \leq j \leq \mathcal{g}_+$), we obtain a cobordism from $[0,1]^2$ to $[0,1]^2$, and a tangle comes from the cocores of these handles.

This construction gives the inverse of D.

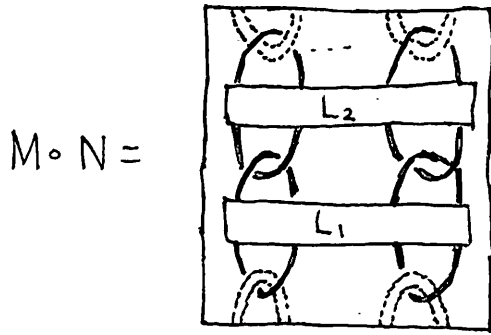
◦ functoriality

$(B, \mathcal{T}) \in \mathcal{T}_b^{\pm}(\mathcal{g}_+, \mathcal{g}_-)$ and $(C, \nu) \in \mathcal{T}_b^{\pm}(\mathcal{h}_+, \mathcal{h}_-)$ can be written as

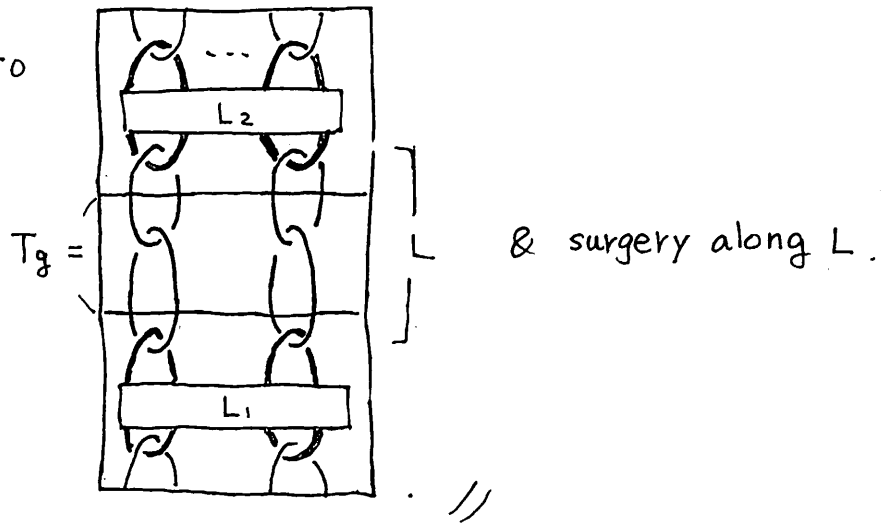


where thick lines and L_i are links along which surgeries applied.

Then, the composition of corr. 3-mfds M, N is



and it corresponds to



Lagrangian cobordism

$$A_g = \langle \alpha_1, \alpha_2, \dots, \alpha_g \rangle \subset H_1(F_g),$$

$$B_g = \langle \beta_1, \beta_2, \dots, \beta_g \rangle.$$

$(M, m) \in \text{Cob}(g_+, g_-)$ is Lagrangian

- \Leftrightarrow (1) $m_- \oplus m_+ : A_{g_-} \oplus B_{g_+} \rightarrow H_1(M)$ is an isomorphism,
 (2) $m_+(A_{g_+}) \subset m_-(A_{g_-})$ in $H_1(M)$.

Rem

- (1) $\mathcal{L} : \text{MCG}(F_g) \rightarrow \text{Cob}(g, g)$
 $h \mapsto (F_g \times [-1, 1], (\text{Id} \times \{-1\}) \cup (h \times \{1\}))$
 is an injective homo.

- (2) $\mathcal{L}(h)$ is Lagrangian $\Leftrightarrow h(A_g) = A_g$.

Lemma 2.12

$$(B, \sigma) \in {}_b^+ \mathcal{T}(g_+, g_-).$$

$D(B, \sigma)$ is Lagrangian \Leftrightarrow $Lk(\sigma^+)$ is trivial, and B is a homology cube.

Proof

$$(M, \mathcal{m}) := D(B, \sigma).$$

Since $B = M \cup \left(\bigcup_{i=1}^{g_+} N(\sigma_i^+) \cup \bigcup_{i=1}^{g_-} N(\sigma_i^-) \right)$, we have

$$\begin{aligned} H_2(B) &\rightarrow H_1\left(\bigcup_{i=1}^{g_+} \partial N(\sigma_i^+) \cup \bigcup_{i=1}^{g_-} \partial N(\sigma_i^-)\right) \\ &\rightarrow H_1(M) \oplus \underbrace{H_1\left(\bigcup_{i=1}^{g_+} N(\sigma_i^+) \cup \bigcup_{i=1}^{g_-} N(\sigma_i^-)\right)}_{=0} \rightarrow H_1(B). \end{aligned}$$

$$\therefore H_1(B) = H_2(B) = 0.$$

$$\Leftrightarrow H_1\left(\bigcup_{i=1}^{g_+} \partial N(\sigma_i^+) \cup \bigcup_{i=1}^{g_-} \partial N(\sigma_i^-)\right) \cong H_1(M)$$

(i.e. $H_1(M)$ is freely generated by the meridians of the tangle σ_i^\pm)

$$\Leftrightarrow H_1(M) = \langle \alpha_1^-, \dots, \alpha_{g_-}^-, \beta_1^+, \dots, \beta_{g_+}^+ \rangle$$

$$\Leftrightarrow H_1(M) = \mathcal{m}_-(A_{g_-}) \oplus \mathcal{m}_+(B_{g_+}), \dots (*)$$

On the other hand,

$Lk(\sigma^+)$ is trivial

$$\Leftrightarrow Lk(\alpha_i^+, \alpha_j^+) = 0 \quad (1 \leq i \leq j \leq g_+).$$

When (*) is satisfied, $Lk(x, \alpha_i^+) = \dots = Lk(x, \alpha_{g_+}^+) = 0 \Leftrightarrow x \in \mathcal{m}_-(A_{g_-})$.

Thus, $Lk(\alpha_i^+, \alpha_j^+) = 0 \Leftrightarrow \mathcal{m}_+(A_{g_+}) \subset \mathcal{m}_-(A_{g_-})$. //

Lagrangian g -cobordism

$(M, m, w_t(M), w_b(M))$ is a Lagrangian g -cobordism

$\Leftrightarrow (M, m)$ is a Lagrangian cobordism from F_g to F_f , and $w_t(M)$ and $w_b(M)$ are non-ass. words of length g and f in the single letter \bullet .

Category of Lagrangian g -cobordisms $\mathcal{L}Cob_g$

$\mathcal{L}Cob_g$: the (non-strict monoidal) category of Lagrangian g -cobordisms

object: the free non-ass. magma gen. by \bullet ,

morphism: $(M, m, w_t(M), w_b(M)) \in \mathcal{L}Cob(u, v)$,

where (M, m) is a Lagrangian cobordism from $|u|$ to $|v|$.

Rem

$D^{-1}: Cob \xrightarrow{\cong} \mathcal{T}_b \mathcal{T}$ induces a functor $\mathcal{L}Cob_g \rightarrow \mathcal{T}_g Cub$.

$\bullet \mapsto (+-)$
 $(M, m) \mapsto D^{-1}(M, m)$

$\mathcal{Z}(M)$

For a Lagrangian g -cobordism (M, m) from F_g to F_f ,

We denote $\mathcal{Z}(M) = \mathcal{Z}(B, \sigma) \in \mathcal{A}(\sigma) = \mathcal{A}(\cup_{LgT} \cup_{Lft}, \emptyset)$

where $LgT = \{1, 2, \dots, g\}$.

Rem

By Lemma 2.12 and Lemma 3.17, $\chi^{-1} \mathcal{Z}(M) \in \mathcal{A}(\emptyset, LgT^+ \cup Lft^-)$

$Lk(\sigma^+)$ is trivial strut-part is $[\frac{Lk_B(\sigma)}{2}]$

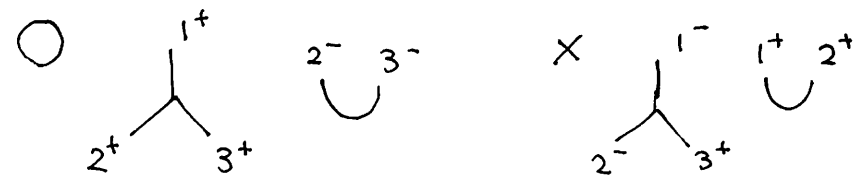
is LgT^+ -substantial.

§ LMO functor $\tilde{Z} : \mathcal{L}Cob_g \rightarrow {}^{ts}A$

top-substantial

$f, g \geq 0$.

A Jacobi diagram based on $A(\emptyset, [g]^+ \cup [f]^-)$ is top-substantial if it is LgT^+ -substantial.



${}^{ts}A$

The (strict monoidal) category of top-substantial diagrams.

object: $\mathbb{Z}_{\geq 0}$

morphism: ${}^{ts}A(g, f)$: the subspace of $A(\emptyset, [g]^+ \cup [f]^-)$ spanned by top-substantial diagrams.

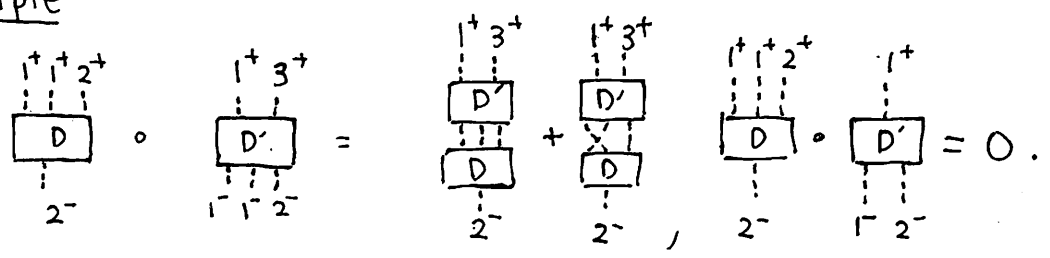
composition & tensor product

$x \in {}^{ts}A(g, f), y \in {}^{ts}A(h, g)$

$$x \circ y = \langle (x/i^+ \mapsto i^*), (y/i^- \mapsto i^*) \rangle_{LgT^*}$$

$$= \left(\begin{array}{l} \text{sum of all ways of gluing the } i^+ \text{-colored vertices of } x \\ \text{to the } i^- \text{-colored vertices of } y \text{ for all } i=1, \dots, g \end{array} \right).$$

Example



identity

$$id_g = \left[\begin{array}{c} 1^+ \\ \vdots \\ 1^- \end{array} + \dots + \begin{array}{c} g^+ \\ \vdots \\ g^- \end{array} \right].$$

Rem

For a Lagrangian g -cobordism (M, m) from F_g to F_f , $x^{-1}Z(M) \in A(\emptyset, LgT^+ \cup LfT^-)$ is in ${}^{ts}A(g, f)$.

Lemma 4.5

$a \in {}^{ts}A(g, f)$, $b \in {}^{ts}A(h, g)$ s.t.

$$a = \left[\frac{A}{2} \right] \perp a^Y \text{ and } b = \left[\frac{B}{2} \right] \perp b^Y,$$

where A is a symmetric $(Lg\uparrow^+ \cup Lf\uparrow^-) \times (Lg\uparrow^+ \cup Lf\uparrow^-)$ matrix
and B $(Lh\uparrow^+ \cup Lg\uparrow^-) \times (Lh\uparrow^+ \cup Lg\uparrow^-)$ matrix
of the form

$$A = \begin{pmatrix} 0 & A^{+-} \\ A^{-+} & A^{--} \end{pmatrix}, \quad B = \begin{pmatrix} 0 & B^{+-} \\ B^{-+} & B^{--} \end{pmatrix},$$

$$\text{Then, } a \circ b = \left[\frac{1}{2} \begin{pmatrix} 0 & B^{+-} A^{+-} \\ A^{-+} B^{+-} & A^{--} + A^{-+} B^{--} A^{+-} \end{pmatrix} \right] \perp (a^Y \star^{A, B} b^Y),$$

where

$$x \star^{A, B} y = \left\langle \left(x / i^+ \mapsto i^* + B^{+-} i^- + A^{-+} B^{--} i^- \right), \right. \\ \left. \left(\left[B^{--} / 2 \right] / i^- \mapsto i^* \right) \perp \left(y / i^- \mapsto i^* + A^{-+} i^+ \right) \right\rangle_{Lg\uparrow^*}$$

Rem

$$\text{When } f = g = h \text{ and } A = B = \begin{pmatrix} 0 & I_g^{+-} \\ I_g^{-+} & 0 \end{pmatrix},$$

$$x \star y = \left\langle \left(x / i^+ \mapsto i^* + i^+ \right), \left(y / i^- \mapsto i^* + i^- \right) \right\rangle_{Lg\uparrow^*}.$$

Rem

If a and b is grouplike, $a \circ b$ is grouplike as well.

$\lambda(x, y; r)$

$$\begin{aligned} \lambda(x, y; r) &= \chi_r^{-1} \left(\underbrace{\begin{array}{c} x \quad y \\ \parallel \quad \parallel \\ \hline \end{array}}_r \right) \in \mathcal{A}(\emptyset, \{x, y, r\}) \\ &= \chi_r^{-1} \left(\rightarrow + \begin{array}{c} x \\ \parallel \\ \hline \end{array} + \begin{array}{c} y \\ \parallel \\ \hline \end{array} + \frac{1}{2} \begin{array}{c} x \quad x \\ \parallel \quad \parallel \\ \hline \end{array} + \frac{1}{2} \begin{array}{c} y \quad y \\ \parallel \quad \parallel \\ \hline \end{array} + \begin{array}{c} x \quad y \\ \parallel \quad \parallel \\ \hline \end{array} + \dots \right) \\ &= \emptyset + \begin{array}{c} x \\ \parallel \\ \hline \end{array} + \begin{array}{c} y \\ \parallel \\ \hline \end{array} + \frac{1}{2} \begin{array}{c} x \quad x \\ \parallel \quad \parallel \\ \hline \end{array} + \frac{1}{2} \begin{array}{c} y \quad y \\ \parallel \quad \parallel \\ \hline \end{array} + \begin{array}{c} x \quad y \\ \parallel \quad \parallel \\ \hline \end{array} + \frac{1}{2} \begin{array}{c} x \quad y \\ \diagdown \quad \diagup \\ \hline \end{array} + \dots \end{aligned}$$

Lemma

$$\lambda(x, y; r) = \left[\begin{array}{c} x \\ \parallel \\ \hline \end{array} + \begin{array}{c} y \\ \parallel \\ \hline \end{array} + \frac{1}{2} \begin{array}{c} x \quad y \\ \diagdown \quad \diagup \\ \hline \end{array} + \frac{1}{12} \left(\begin{array}{c} x \quad x \quad y \\ \diagdown \quad \diagup \quad \diagup \\ \hline \end{array} + \begin{array}{c} y \quad y \quad x \\ \diagdown \quad \diagup \quad \diagup \\ \hline \end{array} \right) + \dots \right]:$$

Baker-Campbell-Hausdorff series.

Thus, $\lambda(x, y; r)$ is grouplike.

Proof

For a connected tree with one r -leg $\begin{array}{c} \square \\ \parallel \\ \hline \end{array} \in \mathcal{A}(\emptyset, \{x, y, r\})$,

$$\begin{aligned} \chi_r(\exp_{\perp}(\begin{array}{c} \square \\ \parallel \\ \hline \end{array})) &= \sum_{n=0}^{\infty} \frac{1}{n!} \underbrace{\begin{array}{c} \square \dots \square \\ \parallel \dots \parallel \\ \hline \end{array}}_{n \text{ times}} \\ &= \underline{\begin{array}{c} \square \\ \parallel \\ \hline \end{array}}, \end{aligned}$$

$$\therefore \exp_{\perp}(\begin{array}{c} \square \\ \parallel \\ \hline \end{array}) = \chi_r^{-1} \left(\underline{\begin{array}{c} \square \\ \parallel \\ \hline \end{array}} \right) = \chi_r^{-1} \left(\exp_{\perp}(\begin{array}{c} \square \\ \parallel \\ \hline \end{array}) \right)$$

The element $\log e^x e^y$ $\begin{array}{c} \parallel \\ \hline \end{array}$ is a linear combination of conn. diagrams,

$$\text{Thus, } \chi_r^{-1} \left(\underbrace{\begin{array}{c} x \quad y \\ \parallel \quad \parallel \\ \hline \end{array}} \right) = \chi_r^{-1} \left(\exp_{\perp} \begin{array}{c} \log e^x e^y \\ \parallel \\ \hline \end{array} \right) = \exp_{\perp} \left(\begin{array}{c} \log e^x e^y \\ \parallel \\ \hline \end{array} \right) //$$

$\pi(x_+, x_-)$

$\pi(x_+, x_-) = U_+^{-1} \perp U_-^{-1} \perp \int_{r_{\pm}} \langle \lambda(x_-, y_-; r_-) \perp \lambda(x_+, y_+; r_+), x^{-1} \mathbb{Z}(T_1^y) \rangle_{y_{\pm}}$
 (*)

(*) = $x_{r_{\pm}}^{-1} \langle \begin{matrix} x_+ & y_+ \\ \boxed{} & \boxed{} \\ \hline & r_+ \end{matrix} \perp \begin{matrix} x_- & y_- \\ \boxed{} & \boxed{} \\ \hline & r_- \end{matrix}, x_{y_{\pm}}^{-1} \mathbb{Z} \left(\begin{matrix} y_+ \\ \uparrow \\ y_- \end{matrix} \right) \rangle_{y_{\pm}}$

= $x_{r_{\pm}}^{-1} \langle \begin{matrix} x_+ & y_+ \\ \boxed{} & \boxed{} \\ \hline & r_+ \end{matrix} \perp \begin{matrix} x_- & y_- \\ \boxed{} & \boxed{} \\ \hline & r_- \end{matrix}, \begin{matrix} y_+ & y_+ & \dots & y_+ \\ \boxed{x^{-1} \mathbb{Z}(T_1^y)} \\ \hline y_- & y_- & \dots & y_- \end{matrix} \rangle_{y_{\pm}}$

= $x_{r_{\pm}}^{-1} \left(\begin{matrix} x_+ \\ \boxed{} \xrightarrow{} r_+ \\ \vdots \\ \boxed{x^{-1} \mathbb{Z}(T_1^y)} \\ \vdots \\ \boxed{} \xrightarrow{} r_- \\ x_- \end{matrix} \right)$

= $\begin{matrix} x_+ & r_+ \\ \vdots & \vdots \\ \boxed{} \\ \vdots & \vdots \\ x_- & r_- \end{matrix} \in \mathcal{A}(\phi, \{x_{\pm}, r_{\pm}\})$

Lemma 4.9

$\pi(x_+, x_-)$ is grouplike in $\mathcal{A}(\phi, \{x_+, x_-\})$, and its strut part is $\begin{bmatrix} x_+ \\ \vdots \\ x_- \end{bmatrix}$.

Rem

By straightforward computations, we have

$\pi(x_+, x_-) = \begin{bmatrix} x_+ \\ \vdots \\ x_- \end{bmatrix} \perp \left(\phi - \frac{1}{8} \begin{matrix} x_+ \\ \circ \\ x_- \end{matrix} - \frac{1}{48} \begin{matrix} x_+ & x_+ \\ \circ & \circ \\ x_- & x_- \end{matrix} + \frac{1}{8} \begin{matrix} x_+ & x_+ \\ \vdots & \vdots \\ x_- & x_- \end{matrix} + (\text{deg} > 3) \right)$

Proof of Lemma 4.9

• strut part

The strut part of $\chi^T \Xi(T_i^y)$ coincides with the linking matrix $\begin{bmatrix} - \\ \vdots \\ y_+ \\ \vdots \\ y_- \\ - \end{bmatrix}$,
 $\lambda(x, y; r)$ is, by definition, $\begin{bmatrix} x \\ \vdots \\ r \\ \vdots \\ y \\ \vdots \\ r \end{bmatrix} + \begin{bmatrix} y \\ \vdots \\ r \\ \vdots \\ y \\ \vdots \\ r \end{bmatrix}$.
 ($\chi^T \Xi(T_i^y)$ and $\lambda(x, y; r)$ are grouplike)

$$\begin{aligned} (*) &= \left\langle \begin{bmatrix} x_- & y_- & x_+ & y_+ \\ \vdots & \vdots & \vdots & \vdots \\ r_- & r_- & r_+ & r_+ \end{bmatrix} \perp\!\!\!\perp (Y\text{-part}), \begin{bmatrix} - \\ \vdots \\ y_+ \\ \vdots \\ y_- \\ - \end{bmatrix} \perp\!\!\!\perp (Y\text{-part}) \right\rangle_{y_{\pm}} \\ &= \left\langle \begin{bmatrix} x_- & x_+ \\ \vdots & \vdots \\ r_- & r_+ \end{bmatrix} \perp\!\!\!\perp \begin{bmatrix} - \\ \vdots \\ y_+ \\ \vdots \\ y_- \\ - \end{bmatrix} \perp\!\!\!\perp (Y\text{-part}) \right\rangle_{y_{\pm}} \\ &= \left\langle \begin{bmatrix} x_- & x_+ \\ \vdots & \vdots \\ r_- & r_+ \end{bmatrix} \perp\!\!\!\perp (Y\text{-part}), \begin{bmatrix} - \\ \vdots \\ y_+ \\ \vdots \\ y_- \\ - \end{bmatrix} \perp\!\!\!\perp (Y\text{-part}) \right\rangle_{y_{\pm}} \end{aligned}$$

$$\begin{aligned} \therefore \int_{r_{\pm}} (*) &= \left\langle \begin{bmatrix} r_+ \\ \vdots \\ r_- \end{bmatrix}, \begin{bmatrix} x_- & x_+ \\ \vdots & \vdots \\ r_- & r_+ \end{bmatrix} \perp\!\!\!\perp (Y\text{-part}) \right\rangle_{r_{\pm}} \\ &= \left\langle \begin{bmatrix} x_+ \\ \vdots \\ x_- \end{bmatrix} \perp\!\!\!\perp (Y\text{-part}), \begin{bmatrix} r_+ \\ \vdots \\ r_- \end{bmatrix} \right\rangle_{r_{\pm}} \end{aligned}$$

• grouplike

Since χ preserves coproducts, $\chi^T \Xi(T_i^y)$ is grouplike,
 and $\lambda(x, y; r)$ is also grouplike by definition.

Thm 3.6 (JMM)

$D, E \in \mathcal{A}(\emptyset, C \cup S)$: grouplike.

If D or E is S -substantial, $\langle E, D \rangle_S$ is grouplike.

Since $\lambda(x_+, y_+; r_+) \perp\!\!\!\perp \lambda(x_-, y_-; r_-)$ is $\{y_{\pm}\}$ -substantial,
 (*) is also grouplike by Thm 3.6.

$\int_{r_{\pm}} (*) = \left\langle \begin{bmatrix} r_+ \\ \vdots \\ r_- \end{bmatrix}, \begin{bmatrix} x_- & x_+ \\ \vdots & \vdots \\ r_- & r_+ \end{bmatrix} \perp\!\!\!\perp (Y\text{-part}) \right\rangle_{r_{\pm}}$ is also grouplike
 by Thm 3.6. //

Denote

$$P = \begin{pmatrix} I_g & 0 & 0 & 0 \\ -Lk(v^-, L) Lk(L)^{-1} & I_g & 0 & 0 \\ 0 & 0 & I_g & -Lk(\gamma^+, K) Lk(K)^{-1} \\ 0 & 0 & 0 & I_k \end{pmatrix}$$

Then, we have

$$P \cdot Lk(KUTUL) \cdot P^{-1} = \begin{pmatrix} Lk(L) & 0 & 0 & 0 \\ 0 & X_- & -I_g & 0 \\ 0 & -I_g & X_+ & 0 \\ 0 & 0 & 0 & Lk(K) \end{pmatrix}$$

where $X_- = Lk(v^-) - Lk(v^-, L) \cdot Lk(L)^{-1} \cdot Lk(L, v^-)$,
 $X_+ = Lk(\gamma^+) - Lk(\gamma^+, K) \cdot Lk(K)^{-1} \cdot Lk(K, \gamma^+)$.

A homological argument shows $X_+ = Lk_{[-L, 1]_K}(\gamma^+)$,

and by Lemma 2.12, $Lk_{[-L, 1]_K}(\gamma^+) = 0$.

Thus, we obtain $\sigma_{\pm}(KUTUL) = \sigma_{\pm}(K) + \sigma_{\pm}(L) + g$. //

Step 2

$$\begin{aligned} \Sigma(M \circ N) &= \overbrace{U_+^{-\sigma_+(K) - \sigma_+(L) - g}}^{\parallel} \parallel U_-^{-\sigma_-(KUTUL)} \\ &\parallel \int_{\pi_0(KUTUL)} \chi_{\pi_0(KUTUL)}^{-1} \Sigma((KUTUL)^{\nu_U}(\gamma^- \cup \nu^+)) \end{aligned}$$

(*)

$$(*) = \chi_{\pi_{0T}}^{-1} \chi_{\pi_{0K}}^{-1} \chi_{\pi_{0L}}^{-1} = \chi_{\pi_{0T}}^{-1}$$

It is known that $\int \pi_{0(K \cup T \cup L)} = \int \pi_{0T} \int \pi_{0K} \int \pi_{0L}$ ([BGRT2] Prop 2.11) when $Lk_{[-1,1]^3}(K)$ and $Lk_{[-1,1]^3}(L)$ are invertible.

Recall that $Z(B, \sigma) = U_+^{-\sigma_+(L)} \perp U_-^{-\sigma_-(L)} \perp \int \pi_{0L} \chi_{\pi_{0L}}^{-1} Z(L^\nu \cup \sigma)$,
 $Z(C, \nu) = U_+^{-\sigma_+(K)} \perp U_-^{-\sigma_-(K)} \perp \int \pi_{0K} \chi_{\pi_{0K}}^{-1} Z(K^\nu \cup \sigma)$.

Thus, we have

$$Z(M \circ N) = U_+^{-g} \perp U_-^{-g} \perp \int \pi_{0T} \chi_{\pi_{0T}}^{-1}$$

(*)'

$$(*) = \int_{\{e, f\}} \chi_{\{e, f\}}^{-1} \left\langle \begin{array}{c} a \quad b \\ \text{[]} \perp \text{[]} \\ e \end{array} \perp \begin{array}{c} c \quad d \\ \text{[]} \perp \text{[]} \\ f \end{array} \right\rangle$$

$$= \left\langle \int_{\{e, f\}} \langle \lambda(a, b; e) \perp \lambda(c, d; f), \chi_{\pi_{0T}}^{-1} Z(T^\nu) \rangle_{b, d}, \right.$$

$$\left. \chi_{\nu^-}^{-1} Z(C, \nu) \perp \chi_{\sigma^+}^{-1} Z(B, \sigma) \right\rangle_{a, c}$$

Set $\Pi_g = U_+^{-g} \perp U_-^{-g} \perp \int_{\{e, f\}} \langle \lambda(a, b; e) \perp \lambda(c, d; f), \chi_{\pi_{0T}}^{-1} Z(T^\nu) \rangle_{b, d}$.

Then, we have $\chi^{-1} Z(M \circ N) = \chi^{-1} Z(M) \circ \Pi_g \circ \chi^{-1} Z(N)$.

Lemma 4.12

M : a Lagrangian g -cobordism from F_g to F_f .

$$\tilde{\mathcal{Z}}(M) = \chi^{-1}_{\pi_0 \partial} \mathcal{Z}(M) \circ \Pi_g \in \mathcal{A}(\emptyset, [g]^+ \cup [f]^-)$$

is grouplike and its strut part is $[\frac{Lk(M)}{2}]$.

Proof

(B, ∂) : a bottom-top g -tangle in a homology cube corr. to M .

$$\tilde{\mathcal{Z}}(M) = \chi^{-1} \mathcal{Z}(B, \partial) \circ \Pi_g.$$

$\chi^{-1} \mathcal{Z}(B, \partial)$ is grouplike by Lemma 3.17, and

Π_g is " by Lemma 4.9.

By Lemma 4.5, the composition $\chi^{-1} \mathcal{Z}(B, \partial) \circ \Pi_g$ is grouplike. //

Thm 4.13

$\tilde{\mathcal{Z}}: \mathcal{L}Cob_g \rightarrow {}^{ts}\mathcal{A}$ is a tensor-preserving functor.

Proof

w : non-ass. word of length g .

By Lemma 4.12, $\tilde{\mathcal{Z}}$ preserves the composition law.

For $M \in \mathcal{L}Cob_g(u, u')$ and

$$\begin{aligned} \tilde{\mathcal{Z}}(M \otimes N) &= \chi^{-1} \mathcal{Z}(\boxed{M|N}) \circ \Pi_{g+h} \\ &= \chi^{-1} (\mathcal{Z}(M) \otimes \mathcal{Z}(N)) \circ \Pi_{g+h} \\ &= (\chi^{-1} \mathcal{Z}(M) \otimes \chi^{-1} \mathcal{Z}(N)) \circ (\Pi_g \otimes \Pi_h) \\ &= \tilde{\mathcal{Z}}(M) \otimes \tilde{\mathcal{Z}}(N). \end{aligned}$$

Since $\tilde{\mathcal{Z}}(Id_w)$ is grouplike, we denote $\tilde{\mathcal{Z}}(Id_w) = \left[\sum_{i=1}^g \begin{smallmatrix} i^+ \\ \vdots \\ - \end{smallmatrix} \right] \perp \underbrace{\tilde{\mathcal{Z}}^Y(Id_w)}_{Y\text{-part}}$.

We denote $\tilde{\mathcal{Z}}(Id_w) = \emptyset + T + (i\text{-deg} > k)$.

Then, by the equation $\tilde{\mathcal{Z}}(Id_w) = \tilde{\mathcal{Z}}(Id_w \circ Id_w) = \tilde{\mathcal{Z}}(Id_w) \circ \tilde{\mathcal{Z}}(Id_w)$,

we have $T = 2T$, i.e. $T = \emptyset$.

Thus, we obtain $\tilde{\mathcal{Z}}^Y(Id_w) = \emptyset$.

§ Mapping class group & LMO functor

The monoid $Cyl(F_g)$ of homology cylinders

(M, m) is a homology cylinder

$\Leftrightarrow (M, m)$ is a cobordism from F_g to F_g
 s.t. $m_{\pm} : H_1(F_g) \rightarrow H_1(M)$ is an isom
 and $m_+ = m_-$.

Rem

$I(F_g) := Ker(MCG(F_g) \rightarrow Aut H_1(F_g))$: Torelli group.

$$I(F_g) \hookrightarrow Cyl(F_g) \subset \mathcal{L}Cob(g, g)$$

$$h \mapsto (F_g \times [-1, 1], (Id \times \{-1\}) \cup (h \times \{1\}))$$

A choice of non-ass word, e.g. $w = (\underbrace{(\dots((0 \cdot 0) \cdot) \dots)}_g) \cdot$,
 gives a monoid homo. $I(F_g) \xrightarrow{\mathcal{L}} \mathcal{L}Cob_g(w, w) \xrightarrow{\cong} {}^{ts}A(g, g)$.

Lemma

$$M \in Cyl(F_g) \Leftrightarrow Lk(M) = \begin{pmatrix} 0 & I_g \\ I_g & 0 \end{pmatrix}$$

Denote

$$\tilde{\mathcal{Z}}^Y : I(F_g) \rightarrow {}^{ts}A(g, g) \xrightarrow{Y\text{-part}} \mathcal{A}^Y(LgT^+ \cup LgT^-)$$

Rem

For $D, E \in Cyl(F_g)$, $\tilde{\mathcal{Z}}(D) = \left[\begin{smallmatrix} i^+ \\ \vdots \\ i^- \end{smallmatrix} + \dots + \begin{smallmatrix} g^+ \\ \vdots \\ g^- \end{smallmatrix} \right] \perp \tilde{\mathcal{Z}}^Y(D)$,
 $\tilde{\mathcal{Z}}(E) = \left[\begin{smallmatrix} i^+ \\ \vdots \\ i^- \end{smallmatrix} + \dots + \begin{smallmatrix} g^+ \\ \vdots \\ g^- \end{smallmatrix} \right] \perp \tilde{\mathcal{Z}}^Y(E)$.

Then,

$$\tilde{\mathcal{Z}}(D \circ E) = \tilde{\mathcal{Z}}(D) \circ \tilde{\mathcal{Z}}(E) \in {}^{ts}A(g, g)$$

$$= \left[\begin{smallmatrix} i^+ \\ \vdots \\ i^- \end{smallmatrix} + \dots + \begin{smallmatrix} g^+ \\ \vdots \\ g^- \end{smallmatrix} \right] \perp \tilde{\mathcal{Z}}^Y(D) \star \tilde{\mathcal{Z}}^Y(E)$$

where $x \star y = \langle (x/i^+ \dashv i^* + i^-), (y/i^- \dashv i^* + i^-) \rangle_{LgT^*}$.

Example

$$\begin{aligned}
 \begin{array}{c} |^- \\ \diagup \quad \diagdown \\ 2^+ \quad 3^- \end{array} & \star \begin{array}{c} |^+ \\ \diagup \quad \diagdown \\ 2^- \quad 3^+ \end{array} = \left\langle \begin{array}{c} |^- \\ \diagup \quad \diagdown \\ 2^+ \quad 3^- \end{array} + \begin{array}{c} |^- \\ \diagup \quad \diagdown \\ 2^* \quad 3^- \end{array}, \begin{array}{c} |^+ \\ \diagup \quad \diagdown \\ 2^- \quad 3^+ \end{array} + \begin{array}{c} |^+ \\ \diagup \quad \diagdown \\ 2^* \quad 3^+ \end{array} \right\rangle \text{Lg7}^* \\
 & = \begin{array}{c} |^- \quad |^+ \\ \diagup \quad \diagdown \quad \diagup \quad \diagdown \\ 2^+ \quad 3^- \quad 2^- \quad 3^+ \end{array} + \begin{array}{c} 3^- \quad |^+ \\ \diagup \quad \diagdown \quad \diagup \quad \diagdown \\ 1^- \quad \quad \quad 3^+ \end{array} .
 \end{aligned}$$

Thm 8.18

$M \in \text{Cyl}(F_g)$.

If $\tau_n(M)$ is its first nonvanishing Johnson homo., then the tree part of $\tilde{Z}^Y(M)$ is equal to $\emptyset + \tau_n(M) + (i\text{-deg} > n)$.

Example

$F_1 =$ 

$\tilde{Z}^Y(\tau_c) = \emptyset - \frac{1}{2} \begin{array}{c} |^+ \\ | \\ |^- \end{array} + \frac{1}{2} \begin{array}{c} |^+ \\ \diagup \quad \diagdown \\ |^- \quad |^- \end{array} + (i\text{-deg} \geq 3)$.

$\frac{1}{2} \begin{array}{c} |^+ \\ \diagup \quad \diagdown \\ |^- \quad |^- \end{array} \longleftrightarrow -\frac{1}{2} \begin{array}{c} \beta_1 \\ \diagup \quad \diagdown \\ \alpha_1 \quad \alpha_1 \end{array} \longleftrightarrow \tau_2(\tau_c)$.

Cor 8.22

$\tilde{Z}^Y: \mathcal{I}(F_g) \rightarrow \{\text{units of } (\mathcal{A}^Y(\text{Lg7}^+ \cup \text{Lg7}^-), \star)\}$ is injective.

$\textcircled{!} \bigcap_{n=0}^{\infty} \pi_1 F_g[n] \underset{\text{known}}{=} \{1\} \sim \bigcap_{n=0}^{\infty} \text{Ker } \tau_n = \{\text{id}\}$. //

lower central series

For $M \in \text{Cyl}(F_g)$ with $w_t(M) = w_b(M) = (\dots((\bullet\bullet)\bullet)\dots\bullet)$,

denote $\bar{M} = \varepsilon^{\otimes g} \circ M \circ \eta^{\otimes g} \in \mathcal{L}\text{Cob}(\emptyset, \emptyset)$

where $\varepsilon = \boxed{\curvearrowright} \in \mathcal{L}\text{Cob}(\bullet, \emptyset)$, $\eta = \boxed{\curvearrowleft} \in \mathcal{L}\text{Cob}(\emptyset, \bullet)$.

Thm 8.24

(1) $\tilde{\Sigma}(\bar{M}) = (\tilde{\Sigma}^Y(M) / i^+ \rightarrow 0, i^- \rightarrow 0) \in \mathcal{A}(\emptyset)$

and $\text{cc}(\cdot)$ -coordinates of $\tilde{\Sigma}(\bar{M})$ are equal to $\frac{\lambda(\bar{M})}{2}$ (Casson inv.)

(2) For $M, N \in \text{Cyl}(F_g)$,

$\lambda(\overline{M \circ N}) = \lambda(\bar{M}) + \lambda(\bar{N}) + 2$ (the $\text{cc}(\cdot)$ -coordinate in $\tau_1(M) \star \tau_1(N)$)

Cor 8.6

$M \in \text{Cyl}(F_g)$, s.t.

$M \overset{Y_k}{\sim} (F_g \times [-1, 1], \text{id} \times \{-1\}, \text{id} \times \{1\})$

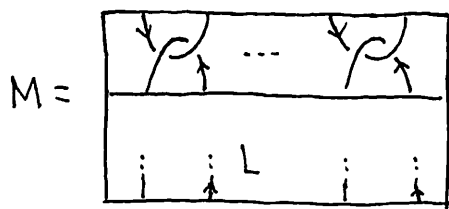
Then, $\tilde{\Sigma}^Y(M) = \emptyset + (i\text{-deg} \geq k)$.

Cor

For $\varphi \in \mathcal{I}(F_g)[k]$, $\tilde{\Sigma}^Y(\varphi) = \emptyset + (i\text{-deg} \geq k)$.

Lemma 5.5

$M \in \mathcal{L}\text{Cob}_g(w, v)$ which is presented as follows:



where L is a tangle in $[-1, 1]^3$ with $w_b(L) = (v/\bullet \rightarrow \bullet)$, $w_t(L) = (w/\bullet \rightarrow \bullet)$.

Then,

$\tilde{\Sigma}(M) = x^{-1} \left(\begin{array}{c} \text{---} \text{---} \text{---} \\ \uparrow \quad \quad \uparrow \\ \text{---} \text{---} \text{---} \\ \boxed{\Sigma(L)} \end{array} \right)$

Step 2

$$\chi^{-1} \left(\underbrace{\left[\begin{array}{c} \text{[diagram 1]} \\ \text{[diagram 2]} \end{array} \right]}_{(*)} \right) = \left[\begin{array}{c} \text{[diagram 3]} \\ \text{[diagram 4]} \end{array} \right] \perp \left[\emptyset - \frac{1}{2} \text{[diagram 5]} + \frac{1}{2} \text{[diagram 6]} + (i\text{-deg} \geq 3) \right]$$

$$\textcircled{1} (*) = \text{[diagram 7]} + \frac{1}{6} \text{[diagram 8]} + \frac{1}{2} \text{[diagram 9]} - \frac{1}{2} \text{[diagram 10]} + \frac{1}{2} \text{[diagram 11]} + \frac{1}{6} \text{[diagram 12]} + \frac{1}{2} \text{[diagram 13]} - \frac{1}{2} \text{[diagram 14]} + \frac{1}{2} \text{[diagram 15]} - \text{[diagram 16]} + (\text{deg} \geq 4)$$

$$\textcircled{1} \xrightarrow{\chi^{-1}} \text{[diagram 17]}$$

$$\textcircled{2} = \frac{1}{2} \text{[diagram 18]} + \text{[diagram 19]} = \frac{1}{2} \text{[diagram 18]} - \frac{1}{2} \text{[diagram 20]} \xrightarrow{\chi^{-1}} \frac{1}{2} \text{[diagram 21]} - \frac{1}{2} \text{[diagram 22]}$$

$$\textcircled{3} = \frac{1}{6} \text{[diagram 23]} + \text{[diagram 24]} + \frac{1}{2} \text{[diagram 25]} + \frac{1}{2} \left(\text{[diagram 26]} + \text{[diagram 27]} + \text{[diagram 28]} \right)$$

$$+ \frac{1}{2} \text{[diagram 29]} - \left(\text{[diagram 30]} + \text{[diagram 31]} \right)$$

$$= \frac{1}{6} \text{[diagram 32]} - \frac{1}{2} \text{[diagram 33]} + \frac{1}{2} \text{[diagram 34]} + \frac{1}{4} \text{[diagram 35]}$$

$$\frac{1}{4} \text{[diagram 36]}$$

$$\xrightarrow{\chi^{-1}} \frac{1}{6} \text{[diagram 37]} - \frac{1}{2} \left(\text{[diagram 38]} + \frac{1}{2} \text{[diagram 39]} \right) + \frac{1}{2} \text{[diagram 40]} + \frac{1}{4} \text{[diagram 41]}$$

$$\therefore \chi^{-1} (*) = \left[\begin{array}{c} \text{[diagram 42]} \\ \text{[diagram 43]} \end{array} \right] \perp \left(\emptyset - \frac{1}{2} \text{[diagram 44]} + \frac{1}{2} \text{[diagram 45]} - \left(-\frac{1}{4} \text{[diagram 46]} + \frac{1}{4} \text{[diagram 47]} + (\text{deg} \geq 4) \right) \right)$$

$$= \left[\begin{array}{c} \text{[diagram 42]} \\ \text{[diagram 43]} \end{array} \right] \perp \left[\emptyset - \frac{1}{2} \text{[diagram 44]} + \frac{1}{2} \text{[diagram 45]} + (i\text{-deg} \geq 3) \right] //$$