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Weighted Random Popular Matchings

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# Weighted Random Popular Matchings

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**Abstract:** For a set  $A$  of  $n$  applicants and a set  $I$  of  $m$  items, let us consider the problem of matching applicants to items, where each applicant  $x \in A$  provides its *preference list* defined on items. We say that an applicant  $x$  prefers an item  $p$  than an item  $q$  if  $p$  is located at higher position than  $q$  in its preference list. For any matchings  $\mathcal{M}$  and  $\mathcal{M}'$  of the matching problem, we say that an applicant  $x$  prefers  $\mathcal{M}$  over  $\mathcal{M}'$  if  $x$  prefers  $\mathcal{M}(x)$  over  $\mathcal{M}'(x)$ . For the matching problem, we say that  $\mathcal{M}$  is *more popular* than  $\mathcal{M}'$  if the number of applicants preferring  $\mathcal{M}$  over  $\mathcal{M}'$  is larger than the number of applicants preferring  $\mathcal{M}'$  over  $\mathcal{M}$ , and define  $\mathcal{M}$  to be a *popular matching* if there are no other matchings that are more popular than  $\mathcal{M}$ . Assume that  $A$  is partitioned into  $A_1, A_2, \dots, A_k$  and each  $A_i$  is assigned a weight  $w_i$  such that  $w_1 > w_2 > \dots > w_k > 0$ . For such a matching problem, we say that  $\mathcal{M}$  is *more popular* than  $\mathcal{M}'$  if the total weight of applicants preferring  $\mathcal{M}$  over  $\mathcal{M}'$  is larger than the total weight of applicants preferring  $\mathcal{M}'$  over  $\mathcal{M}$ , and define  $\mathcal{M}$  to be a  *$k$ -weighted popular matching* if there are no other matchings that are more popular than  $\mathcal{M}$ . Mahdian showed that if  $m > 1.42n$ , then a random instance of the matching problem has a popular matching with high probability, but nothing is known for the  $k$ -weighted matching problems. In this paper, we analyze the  $k$ -weighted matching problems, and we show that for any  $\beta$  such that  $m = \beta n$ , (lower bound) if  $\beta/n^{1/3} = o(1)$ , then a random instance of the 2-weighted matching problems does not have a 2-weighted popular matching with probability  $1 - o(1)$ ; (upper bound) if  $n^{1/3}/\beta = o(1)$ , then a random instance of the 2-weighted matching problems has a 2-weighted popular matching with probability  $1 - o(1)$ .

## 1 Introduction

For a set  $A$  of  $n$  applicants and a set  $I$  of  $m$  items, let us consider the problem of matching applicants to items, where each applicant  $x \in A$  provides its *preference list* defined on a subset  $J_x \subseteq I$ . A preference list  $\vec{p}_x$  of each applicant  $x$  may contain ties among the items and it ranks a subset of  $J_x$ , i.e.,  $J_x$  is partitioned into  $J_x^1, J_x^2, \dots, J_x^d$ , where  $J_x^h$  is a set of the  $h^{\text{th}}$  preferred items. We say that an applicant  $x$  prefers  $p \in J_x$  than  $q \in J_x$  if  $p \in J_x^i$  and  $q \in J_x^h$  for  $i < h$ , and we say that an applicant  $x$  has a tie if there exists an  $h$  such that  $p, q \in J_x^h$ . An instance of the matching problem consists of a bipartite graph  $B = (A \cup I, D)$  in which there exists an edge  $(x, p) \in D$  for each  $x \in A$  and each  $p \in J_x$ . For any matching  $\mathcal{M}$  of  $B = (A \cup I, D)$ , let  $\mathcal{M}(x)$  be an item  $p \in I$  that is matched to an applicant  $x$  by  $\mathcal{M}$ . For any matchings  $\mathcal{M}$  and  $\mathcal{M}'$  of  $B = (A \cup I, D)$ , we say that an applicant  $x$  prefers  $\mathcal{M}$  over  $\mathcal{M}'$  if the applicant  $x$  prefers  $\mathcal{M}(x)$  over  $\mathcal{M}'(x)$ . For a bipartite graph  $B = (A \cup I, D)$ , we say that  $\mathcal{M}$  is *more popular* than  $\mathcal{M}'$  if the total *number* of applicants preferring  $\mathcal{M}$  over  $\mathcal{M}'$  is larger than the total *number* of applicants preferring  $\mathcal{M}'$  over  $\mathcal{M}$ , and define  $\mathcal{M}$  to be a *popular matching* [6] if there exist no other matchings that are more popular than  $\mathcal{M}$ . The notion of popular matchings have applications in the real world, e.g., mail-based DVD rental systems such as NetFlix [1].

Assume that the set  $A$  of applicants is partitioned into  $A_1, A_2, \dots, A_k$  and each category  $A_i$  is assigned a weight  $w_i > 0$  such that  $w_1 > w_2 > \dots > w_k$ . This setting can be regarded as a case where the applicants in  $A_1$  are platinum members, the applicants in  $A_2$  are gold members, the applicants in  $A_3$  are silver members, the applicants in  $A_4$  are regular members, etc. In a way similar to the above,

we can consider  $k$ -weighted matching problems and an instance of  $k$ -weighted matching problems is also given by a bipartite graph  $B_k = (A \cup I, D)$ . For a  $k$ -weighted matching problem  $B_k = (A \cup I, D)$ , we say that  $\mathcal{M}$  is *more popular* than  $\mathcal{M}'$  if the total *weight* of applicants preferring  $\mathcal{M}$  over  $\mathcal{M}'$  is larger than the total *weight* of applicants preferring  $\mathcal{M}'$  over  $\mathcal{M}$ .

**Definition 1.1** [8]: For any  $k$ -weighted matching problem  $B_k = (A \cup I, D)$ , a matching  $\mathcal{M}$  of  $B_k$  is  *$k$ -weighted popular matching* if there exist no other matchings that are more popular than  $\mathcal{M}$ .

In this paper, we simply refer to 1-weighted matching problems as matching problems, and also simply refer to 1-weighted popular matchings as popular matchings.

We say that a preference list  $\vec{p}_x$  of an applicant  $x$  is *complete* if  $J_x = I$ , i.e., the applicant  $x$  represents its preferences on all items, and define a  $k$ -weighted matching problem  $B_k = (A \cup I, D)$  to be complete if for each applicant  $x \in A$ , a preference list  $\vec{p}_x$  of the applicant  $x$  is complete. We also say that an preference list  $\vec{p}_x$  of an applicant  $x$  is *strict* if  $|J_x^h| = 1$  for each  $h$ , i.e., the applicant  $x$  prefers each item in  $J_x$  differently, and we define a  $k$ -weighted matching problem  $B_k = (A \cup I, D)$  to be strict if for each applicant  $x \in A$ , a preference list  $\vec{p}_x$  of the applicant  $x$  is strict.

## 1.1 Known Results

For strict matching problems, Abraham, et al. [2, Theorem 3.1] presented a deterministic  $O(n+m)$  time algorithm that outputs a popular matching if it exists, and for matching problems with ties, Abraham, et al. [2, Theorem 3.2] also showed a deterministic  $O(\sqrt{nm})$  time algorithm that outputs a popular matching if it exists. To derive these algorithms, Abraham, et al. [2] introduced notions of  $f$ -items (the first items) and  $s$ -items (the second items), and characterized popular matchings by  $f$ -items and  $s$ -items. Mestre [8] generalized those results to  $k$ -weighted matching problems. For strict  $k$ -weighted matching problems, Mestre [8, Theorem 2] showed a deterministic  $O(n+m)$  time algorithm that outputs a  $k$ -weighted popular matching if it exists, and for  $k$ -weighted matching problems with ties, Mestre [8, Theorem 3] showed a deterministic  $O(\min(k\sqrt{n}, n)m)$  time algorithm that outputs a  $k$ -weighted popular matching if it exists.

In general, the matching problems do not always have popular matchings. Mahdian [7] answered to a question of when the matching problems have popular matchings. In fact, Mahdian presented that if  $m > 1.42n$ , then a random instance of the matching problems has a popular matching with probability  $1 - o(1)$  [7, Theorem 1], and also showed that if  $m < 1.42n$ , then a random instance of the matching problems does not have a popular matching with probability  $1 - o(1)$  [7, §4].

## 1.2 Main Results

In this paper, we answer to a question of when  $k$ -weighted matching problems have  $k$ -weighted popular matchings. More precisely, we show the following results:

**Theorem 4.1:** Let  $m = \beta n$ . If  $\beta/n^{1/3} = o(1)$ , then a random instance of the 2-weighted matching problems does not have a 2-weighted popular matching with probability  $1 - o(1)$ .

**Theorem 5.1:** Let  $m = \beta n$ . If  $n^{1/3}/\beta = o(1)$ , then a random instance of the 2-weighted matching problems has a 2-weighted popular matching with probability  $1 - o(1)$ .

In the case of the matching problems, it suffices to consider only the set  $F$  of  $f$ -items and the set  $S$  of  $s$ -items. In the case of the 2-weighted matching problems, however, we need to separately consider the set  $F_1$  of  $f_1$ -items, the set  $S_1$  of  $s_1$ -items, the set  $F_2$  of  $f_2$ -items, and the set  $S_2$  of  $s_2$ -items, and we also need to carefully deal with the case that  $S_1 \cap F_2 \neq \emptyset$ , which makes the analysis of the 2-weighted matching problems much harder than that of the matching problems.

## 2 Preliminaries

Let  $A$  be the set of  $n$  applicants and  $I$  be the set of  $m$  items. For some  $\beta \geq 1$ , let  $m = \beta n$ . We assume that  $A$  is partitioned into  $A_1$  and  $A_2$  and we refer to  $A_1$  (resp.  $A_2$ ) as the first (resp. the second) category. For any constant  $0 < \delta < 1$ , we also assume that  $|A_1| = \delta|A| = \delta n$  and  $|A_2| = (1 - \delta)|A| = (1 - \delta)n$ . Let  $w_1 > w_2 > 0$  be weights of the first category  $A_1$  and the second category  $A_2$ , respectively. In this paper, we only consider 2-weighted matching problems that are complete and/or strict.

We define  $f$ -items and  $s$ -items [2, 8] as follows: For each applicant  $x \in A_1$ , let  $f_1(x)$  be the most preferred item in its preference list  $\vec{p}_x$ . We refer to  $f_1(x)$  as an  $f_1$ -item of the applicants  $x \in A_1$  and use  $F_1$  to denote the set of all  $f_1$ -items of applicants  $x \in A_1$ . For each applicant  $x \in A_1$ , let  $s_1(x)$  be the most preferred item in its preference list  $\vec{p}_x$  that is not in  $F_1$ . We refer to  $s_1(x)$  as an  $s_1$ -item of the applicant  $x \in A_1$  and use  $S_1$  to denote the set of all  $s_1$ -items of applicants  $x \in A_1$ . For each applicant  $y \in A_2$ , let  $f_2(y)$  be the most preferred item in its preference list  $\vec{p}_y$  that is not in  $F_1$ . We refer to  $f_2(y)$  as an  $f_2$ -item of the applicants  $y \in A_2$  and use  $F_2$  to denote the set of all  $f_2$ -items of applicants  $y \in A_2$ . For each applicant  $y \in A_2$ , let  $s_2(y)$  be the most preferred item in its preference list  $\vec{p}_y$  that is not in  $F_1 \cup F_2$ . We refer to  $s_2(y)$  as an  $s_2$ -item of the applicant  $y \in A_2$  and use  $S_2$  to denote the set of all  $s_2$ -items of applicants  $y \in A_2$ . From the definitions of  $F_1, S_1, F_2, S_2$ , we have that  $F_1 \cap S_1 = \emptyset$ ,  $F_1 \cap F_2 = \emptyset$ , and  $F_2 \cap S_2 = \emptyset$ , however, we may have that  $S_1 \cap F_2 \neq \emptyset$  or  $S_1 \cap S_2 \neq \emptyset$ .

Mestre [8, Definition 1] defined a notion of *well-formed matchings* for  $k$ -weighted matching problems  $B_k = (A \cup I, E)$  that is a generalization of well-formed matchings for matching problems due to Abraham, et al. [2]. Then we show the notion of well-formed matchings for strict  $k$ -weighted matching problems  $B_k = (A \cup I, E)$  for the case where  $k = 2$ .

**Definition 2.1:** For a strict 2-weighted matching problem  $B_2 = (A \cup I, D)$ , a matching  $\mathcal{M}$  is well-formed if (1) each  $x \in A_1$  is matched to  $f_1(x)$  or  $s_1(x)$  by  $\mathcal{M}$ ; (2) each  $y \in A_2$  is matched to  $f_2(y)$  or  $s_2(y)$  by  $\mathcal{M}$ ; (3) each  $p \in F_1$  is matched to  $x \in A_1$  by  $\mathcal{M}$ , where  $f_1(x) = p$ ; (4) each  $q \in F_2$  is matched to  $y \in A_2$  by  $\mathcal{M}$ , where  $f_2(y) = q$ .

For strict 2-weighted matching problems  $B_2 = (A \cup I, E)$ , Mestre [8] showed the following relations between 2-weighted popular matchings and well-formed matchings.

**Proposition 2.1:** For strict 2-weighted matching problems  $B_2 = (A \cup I, E)$  with  $A = A_1 \cup A_2$ , if  $\mathcal{M}$  is a 2-weighted popular matching, then it is a well-formed matching.

**Proposition 2.2:** For strict 2-weighted matching problems  $B_2 = (A \cup I, E)$  with  $A = A_1 \cup A_2$  and  $w_1 \geq 2w_2$ , if  $\mathcal{M}$  is a well-formed matching, then it is a 2-weighted popular matching.

For a 2-weighted matching problem  $B_2 = (A \cup I, D)$ , we define a graph  $G = (V, E)$  as follows: Let  $V = F_1 \cup S_1 \cup F_2 \cup S_2$ . For an applicant  $x \in A_1$ , connect  $f_1(x)$  and  $s_1(x)$ , and let  $e_x = (f_1(x), s_1(x)) \in E_1$ . For an applicant  $y \in A_2$ , connect  $f_2(y)$  and  $s_2(y)$ , and let  $e_y = (f_2(y), s_2(y)) \in E_2$ . Define  $E = E_1 \cup E_2$ . Note that the graph  $G = (V, E)$  consists of  $M = |V| \leq m$  vertices and  $n = |A|$  edges. If  $e_1 \in E_1$  and  $e_2 \in E_2$  are incident to the same vertex  $p \in V$ , then  $p \in S_1 \cap F_2$  or  $p \in S_1 \cap S_2$ . This property makes the 2-weighted matching problems harder than the matching problems. For any 2-weighted matching problem  $B_2 = (A \cup I, D)$ , we use the graph  $G = (V, E)$  and show a necessary and sufficient condition for  $B_2 = (A \cup I, D)$  to have well-formed matchings (see Corollary 3.1).

**Lemma 2.1:** A strict 2-weighted matching problem  $B_2 = (A \cup I, D)$  has a well-formed matching iff the graph  $G = (V, E)$  has an orientation  $\mathcal{O}$  on edges such that (a) each  $p \in V$  has at most one incoming edge; (b) each  $p \in F_1$  has one incoming edge in  $E_1$ ; (c) each  $q \in F_2$  has one incoming edge in  $E_2$ .

**Proof:** Assume that a 2-weighted matching problem  $B_2 = (A \cup I, D)$  has a well-formed matching  $\mathcal{M}$  and let  $A = A_1 \cup A_2$ . We define an orientation  $\mathcal{O}$  on edges of the graph  $G = (V, E)$  as follows: For each applicant  $a \in A_i$ , orient an edge  $e_a = (f_i(a), s_i(a)) \in E_i$  toward  $\mathcal{M}(a)$ . Since  $\mathcal{M}$  is a matching between  $A$  and  $I$ , we have that each  $p \in V$  has at most one incoming edge. From the condition (3) of Definition 2.1, it follows that each  $p \in F_1$  has one incoming edge in  $E_1$ , and from the condition (4) of Definition 2.1, it follows that each  $q \in F_2$  has one incoming edge in  $E_2$ . Thus we have that the orientation  $\mathcal{O}$  on edges of the graph  $G = (V, E)$  satisfies the conditions (a), (b), and (c).

Assume that the graph  $G = (V, E)$  has an orientation  $\mathcal{O}$  on edges satisfying the conditions (a), (b), and (c). Define a matching  $\mathcal{M}$  for the 2-weighted matching problem  $B_2 = (A \cup I, D)$  as follows: For each  $x \in A_1$ , its  $f_1$ -item  $f_1(x)$  (resp. its  $s_1$ -item  $s_1(x)$ ) is matched to  $x$  if  $\mathcal{O}$  orients the edge  $e_x = (f_1(x), s_1(x)) \in E_1$  by  $f_1(x) \leftarrow s_1(x)$  (resp.  $f_1(x) \rightarrow s_1(x)$ ), and for each  $y \in A_2$ , its  $f_2$ -item  $f_2(y)$  (resp. its  $s_2$ -item  $s_2(y)$ ) is matched to  $y$  if  $\mathcal{O}$  orients the edge  $e_y = (f_2(y), s_2(y)) \in E_2$  by  $f_2(y) \leftarrow s_2(y)$  (resp.  $f_2(y) \rightarrow s_2(y)$ ). From the condition (a) of the orientation  $\mathcal{O}$ , it is immediate that  $\mathcal{M}$  is a matching for  $B_2 = (A \cup I, D)$ . From the definition of the graph  $G = (V, E)$ , we have that  $\mathcal{M}$  satisfies the conditions (1) and (2) of Definition 2.1. The condition (b) of the orientation  $\mathcal{O}$  implies that each  $p \in F_1$  is matched to  $x \in A_1$  by  $\mathcal{M}$ , where  $f_1(x) = p$ , and the condition (c) of the orientation  $\mathcal{O}$  implies that each  $q \in F_2$  is matched to  $y \in A_2$  by  $\mathcal{M}$ , where  $f_2(y) = q$ . Thus the matching  $\mathcal{M}$  of the graph  $B_2 = (A \cup I, D)$  satisfies the conditions (1), (2), (3), and (4) of Definition 2.1.  $\blacksquare$

### 3 Characterization for 2-Weighted Matching Problems

In this section, we present a necessary and sufficient condition for 2-weighted matching problems to have 2-weighted popular matchings. For a graph  $G = (V, E)$ , consider the subgraphs in Figure 1.

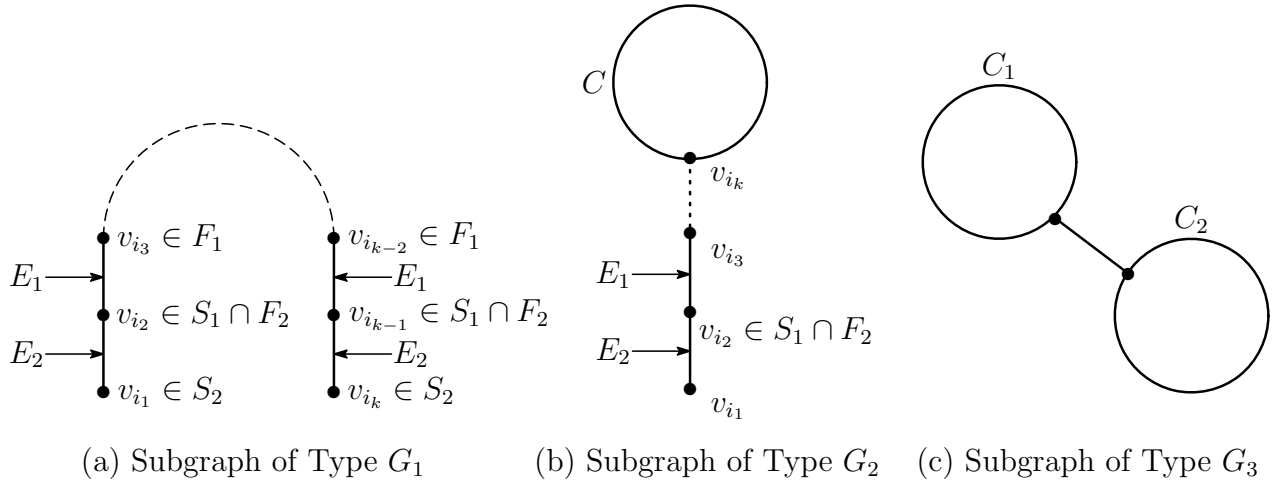


Figure 1: (a) a path  $P = v_{i_1}, v_{i_2}, \dots, v_{i_k}$  that has vertices  $v_{i_2}, v_{i_{k-1}} \in S_1 \cap F_2$  such that  $(v_{i_2}, v_{i_3}) \in E_1$  and  $(v_{i_{k-2}}, v_{i_{k-1}}) \in E_1$ ; (b) a cycle  $C$  and a path  $P = v_{i_1}, v_{i_2}, \dots, v_{i_k}$  incident to  $C$  at  $v_{i_k}$  that has a vertex  $v_{i_2} \in S_1 \cap F_2$  such that  $(v_{i_2}, v_{i_3}) \in E_1$ ; (c) a connected component including cycles  $C_1$  and  $C_2$ .

**Theorem 3.1:** A strict 2-weighted matching problem  $B_2 = (A \cup I, D)$  has a well-formed matching iff the graph  $G = (V, E)$  includes none of the subgraphs  $G_1, G_2$ , nor  $G_3$  in Figure 1.

**Proof:** Assume that the graph  $G = (V, E)$  includes one of the subgraphs  $G_1, G_2$ , and  $G_3$  in Figure 1. For the case where  $G$  includes the subgraph  $G_1$ , if the edge  $(v_{i_2}, v_{i_3}) \in E_1$  is oriented by  $v_{i_2} \leftarrow v_{i_3}$ ,

then the edge  $(v_{i_1}, v_{i_2}) \in E_2$  must be oriented by  $v_{i_1} \leftarrow v_{i_2}$  to meet the condition (a) of Lemma 2.1. However, this does not meet the condition (c) of Lemma 2.1, since the vertex  $v_{i_2} \in S_1 \cap F_2 \subseteq F_2$  has no incoming edges in  $E_2$ . Then the edge  $(v_{i_2}, v_{i_3}) \in E_1$  is oriented by  $v_{i_2} \leftarrow v_{i_3}$ . This is also the case for the edge  $(v_{i_{k-2}}, v_{i_{k-1}}) \in E_1$ , i.e., the edge  $(v_{i_{k-2}}, v_{i_{k-1}}) \in E_1$  is oriented by  $v_{i_{k-1}} \rightarrow v_{i_{k-2}}$ . These results imply that there exists  $2 < j < k-1$  such that  $v_{i_j} \in V$  has at least two incoming edges, which violates the condition (a) of Lemma 2.1. So if the graph  $G = (V, E)$  includes the subgraph  $G_1$ , then it does not have any well-formed matching. In a way similar to the case for the subgraph  $G_2$ , we can show that if the graph  $G = (V, E)$  includes the subgraph  $G_2$ , then it does not have any well-formed matching. For the case where the graph  $G = (V, E)$  includes the subgraph  $G_3$ , we can also show that it does not have any well-formed matching in a way similar to the argument by Mahdian [7].

Assume that the graph  $G = (V, E)$  does not include any of the subgraph  $G_1$ ,  $G_2$ , or  $G_3$  and let  $\{C_i\}_{i \geq 1}$  be the set of cycles in  $G$ . We first orient cycles  $\{C_i\}_{i \geq 1}$ . Since the graph  $G$  does not include the subgraph  $G_1$ , we can orient each cycle  $C_i$  in one of the clockwise and counterclockwise orientations to meet the conditions (a), (b), and (c) of Lemma 2.1. From the assumption that the graph  $G$  does not include the subgraph  $G_3$ , the remaining edges can be categorized as follows: Let  $E_{\text{tree}}^{\text{cyc}}$  be the set of edges in subtrees of  $G$  that are incident to some cycle  $C \in \{C_i\}_{i \geq 1}$ , and  $E_{\text{tree}}$  be the set of edges in subtrees of  $G$  that are not incident to any cycle  $C \in \{C_i\}_{i \geq 1}$ . Since the graph  $G$  does not include the subgraphs  $G_1$  and  $G_2$ , we can orient the edges in  $E_{\text{tree}}^{\text{cyc}}$  away from the cycles to meet the conditions (a), (b), and (c) of Lemma 2.1. We notice that the edges in  $E_{\text{tree}}$  consist of the set of subtrees  $\{T_j\}_{j \geq 1}$  of  $G$ . For each  $T \in \{T_j\}_{j \geq 1}$ , let  $E_T^2$  be the set of edges  $(v, u)$  that is assigned to some applicant in  $A_2$  and  $u \in S_1 \cap F_2$ . For each edge  $e = (v, u) \in E_T^2$ , we first orient the edge  $e$  by  $v \rightarrow u$  and then the remaining edges in  $E_T^2$  are oriented away from each  $u \in S_1 \cap F_2$ . By the assumption that the graph  $G$  does not include the subgraph  $G_1$ , such an orientation meets the conditions (a), (b), and (c) of Lemma 2.1 for each vertex  $v \in T$ , and this completes the proof.  $\blacksquare$

From Propositions 2.1 and 2.2 and Theorem 3.1, we immediately have the following corollary:

**Corollary 3.1:** *A strict 2-weighted matching problem  $B_2 = (A \cup I, D)$  with  $A = A_1 \cup A_2$  and  $w_1 \geq 2w_2$  has a 2-weighted popular matching iff the graph  $G = (V, E)$  includes none of the subgraphs  $G_1$ ,  $G_2$ , nor  $G_3$  in Figure 1.*

Let us consider a random instance of the 2-weighted matching problem  $B_2 = (A \cup I, D)$  that is complete and strict, i.e., each applicant  $x \in A$  is assigned a random preference list  $\vec{p}_x$ , which is a uniformly chosen permutation on the set  $I$  of all items. In the subsequent sections, we analyze the probability that a random instance of the complete and strict 2-weighted matching problems has (or does not have) a 2-weighted popular matching. To this end, we define the following process for a random choice of an instance of the graphs  $G = (V, E)$ :

- (1) Each applicant  $x \in A_1$  is assigned a uniformly chosen  $p \in I$  as an  $f_1$ -item  $f_1(x)$  and let  $F_1$  be the set of all  $f_1$ -items assigned to applicants  $x \in A_1$ .
- (2) Each applicant  $x \in A_1$  is assigned a uniformly chosen  $q \in I - F_1$  as an  $s_1$ -item  $s_1(x)$  and let  $S_1$  be the set of all  $s_1$ -items assigned to applicants  $x \in A_1$ .
- (3) For each applicant  $x \in A_1$ , connect  $f_1(x)$  and  $s_1(x)$  and let  $(f_1(x), s_1(x)) \in E_1$ .
- (4) Each applicant  $y \in A_2$  is assigned a uniformly chosen  $r \in I - F_1$  as an  $f_2$ -item  $f_2(y)$  and let  $F_2$  be the set of all  $f_2$ -items assigned to applicants  $y \in A_2$ .
- (5) Each applicant  $y \in A_2$  is assigned a uniformly chosen  $s \in I - (F_1 \cup F_2)$  as an  $s_2$ -item  $s_2(y)$  and let  $S_2$  be the set of all  $s_2$ -items assigned to applicants  $y \in A_2$ .
- (6) For each applicant  $y \in A_2$ , connect  $f_2(y)$  and  $s_2(y)$  and let  $(f_2(y), s_2(y)) \in E_2$ .

From Corollary 3.1, it is immediate that a random choice of an instance of the complete and strict 2-weighted matching problems  $B_2 = (A \cup I, D)$  is equivalent to a random choice of an instance of the graphs  $G = (V, E)$ . So in the rest of this paper, we consider a random instance of the graphs  $G = (V, E)$  instead of a random instance of the 2-weighted matching problems  $B_2 = (A \cup I, D)$ .

## 4 Lower Bounds for 2-Weighted Matching Problems

Let  $n$  be the total number of applicants and  $m$  be the total number of items. Let  $m = \beta n$ , where  $\beta$  could be a function of  $n$ , and assume that  $\beta$  is large enough so that  $m - n \geq m/c$  for some constant  $c > 1$ , i.e.,  $\beta \geq c/(c-1)$ . For any constant  $0 < \delta < 1$ , let  $n_1 = \delta n$  be the total number of applicants in  $A_1$  and let  $n_2 = (1-\delta)n$  be the total number of applicants in  $A_2$ . In this section, we show a lower bound for  $\beta$  of the 2-weighted matching problems not to have a 2-weighted popular matching, i.e.,

**Theorem 4.1:** *Let  $m = \beta n$ . If  $\beta/n^{1/3} = o(1)$ , then a random instance of the 2-weighted matching problems does not have a 2-weighted popular matching with probability  $1 - o(1)$ .*

**Proof:** Let  $F_1, F_2$  be the set of the first items for applicants in  $A_1, A_2$ , respectively, and let  $S_1, S_2$  be the set of the second items for applicants in  $A_1, A_2$ , respectively. By the definitions of  $F_1, F_2, S_1, S_2$ , we have that  $F_1 \cap S_1 = \emptyset$ ;  $F_1 \cap F_2 = \emptyset$ ;  $F_1 \cap S_2 = \emptyset$ ;  $F_2 \cap S_2 = \emptyset$ , but we could have that  $S_1 \cap F_2 \neq \emptyset$ ;  $S_1 \cap S_2 \neq \emptyset$ . Let  $R_1 = I - F_1$  and let  $R_2 = R_1 - F_2 = I - (F_1 \cup F_2)$ . It is obvious that  $1 \leq |F_1| \leq \delta n$ ;  $1 \leq |F_2| \leq (1-\delta)n$ , which implies that  $m - \delta n \leq |R_1| \leq m$ ;  $m - n \leq |R_2| \leq m$ . From Corollary 3.1, we have that the graph  $G = (V, E)$  does not have any popular matching iff the graph  $G$  includes one of the bad subgraphs of types  $G_1, G_2$ , and  $G_3$ . To show the theorem, it suffices to consider the case where a random instance of the 2-weighted matching problems  $G = (V, E)$  includes the simplest bad subgraphs of type  $G_1$  as shown in Figure 2.

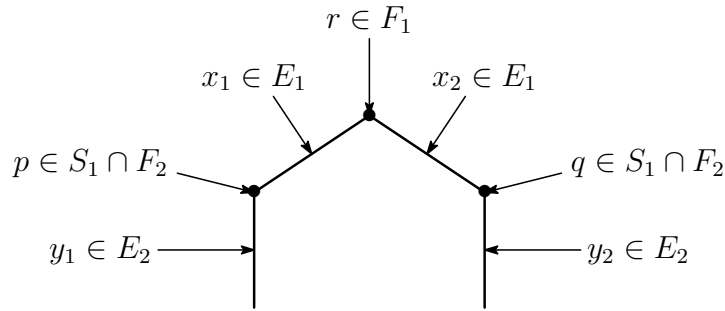


Figure 2: The Simplest “Bad” Subgraphs of Type  $G_1$

For any  $x_1, x_2 \in A_1$  and any  $y_1, y_2 \in A_2$  such that  $x_1 < x_2$  and  $y_1 < y_2$ , we define a random variable  $Z_{x_1, x_2, y_1, y_2}$  to be  $Z_{x_1, x_2, y_1, y_2} = 1$  if the vertices  $x_1, x_2, y_1$ , and  $y_2$  form the bad subgraph of type  $G_1$  in Figure 2 and  $Z_{x_1, x_2, y_1, y_2} = 0$  otherwise. For notational simplicity, we use  $\vec{v}$  to denote  $(x_1, x_2, y_1, y_2)$  such that  $x_1 < x_2$  and  $y_1 < y_2$  for any  $x_1, x_2 \in A_1$  and  $y_1, y_2 \in A_2$ . Let  $T$  be the set of all such  $\vec{v}$ 's and  $N = |T|$ . Since  $n_1 = \delta n = |A_1|$  and  $n_2 = (1-\delta)n = |A_2|$ , we have that

$$N = \binom{n_1}{2} \binom{n_2}{2} \geq \frac{\delta^2 n^2}{3} \cdot \frac{(1-\delta)^2 n^2}{3} = \frac{\delta^2 (1-\delta)^2}{9} n^4. \quad (1)$$

Let  $Z = \sum_{\vec{v} \in V} X_{\vec{v}}$ . Then it follows from Chebyshev's Inequality [9] that

$$\Pr[Z = 0] \leq \Pr[|Z - \mathbf{E}[Z]| \geq \mathbf{E}[Z]]$$

$$= \Pr \left[ |Z - \mathbf{E}[Z]| \geq \frac{\mathbf{E}[Z]}{\sigma_Z} \sigma_Z \right] \leq \frac{\sigma_Z^2}{\mathbf{E}^2[Z]} = \frac{\mathbf{Var}[Z]}{\mathbf{E}^2[Z]}. \quad (2)$$

To derive the lower bound for  $\Pr[Z > 0]$ , we estimate the upper bound for  $\mathbf{Var}[Z]/\mathbf{E}^2[Z]$ . We first consider  $\mathbf{E}[Z]$ . For each  $\vec{v} \in V$ , it is easy to see that

$$\begin{aligned} \Pr[Z_{\vec{v}} = 1] &\geq \frac{1}{m} \cdot \left(\frac{1}{m}\right)^2 = \frac{1}{m^3}; \\ \Pr[Z_{\vec{v}} = 1] &\leq \frac{1}{m} \cdot \left(\frac{1}{m-n_1}\right)^2 \leq \frac{1}{m} \cdot \left(\frac{1}{m-n}\right)^2 = \frac{c^2}{m^3}, \end{aligned} \quad (3)$$

where Inequality (3) follows from the assumption that  $m-n_1 \geq m-n \geq m/c$  for some constant  $c > 1$ . Thus from the estimations for  $\Pr[Z_{\vec{v}} = 1]$ , it follows that

$$\mathbf{E}[Z] = \mathbf{E} \left[ \sum_{\vec{v} \in V} Z_{\vec{v}} \right] = \sum_{\vec{v} \in V} \mathbf{E}[Z_{\vec{v}}] = \sum_{\vec{v} \in V} \Pr[Z_{\vec{v}} = 1] \geq \frac{N}{m^3}; \quad (4)$$

$$\mathbf{E}[Z] = \mathbf{E} \left[ \sum_{\vec{v} \in V} Z_{\vec{v}} \right] = \sum_{\vec{v} \in V} \mathbf{E}[Z_{\vec{v}}] = \sum_{\vec{v} \in V} \Pr[Z_{\vec{v}} = 1] \leq \frac{c^2 N}{m^3}. \quad (5)$$

We then consider  $\mathbf{Var}[Z]$ . From the definition of  $\mathbf{Var}[Z]$ , it follows that

$$\begin{aligned} \mathbf{Var}[Z] &= \mathbf{E} \left[ \left( \sum_{\vec{v} \in V} X_{\vec{v}} \right)^2 \right] - \left( \mathbf{E} \left[ \sum_{\vec{v} \in V} X_{\vec{v}} \right] \right)^2 \\ &= \mathbf{E} \left[ \sum_{\vec{v} \in V} X_{\vec{v}}^2 + \sum_{\vec{v} \in V} \sum_{\vec{w} \in V - \{\vec{v}\}} X_{\vec{v}} X_{\vec{w}} \right] - \left( \mathbf{E} \left[ \sum_{\vec{v} \in V} X_{\vec{v}} \right] \right)^2 \\ &= \mathbf{E} \left[ \sum_{\vec{v} \in V} X_{\vec{v}} \right] - \left( \mathbf{E} \left[ \sum_{\vec{v} \in V} X_{\vec{v}} \right] \right)^2 + \sum_{\vec{v} \in V} \sum_{\vec{w} \in V - \{\vec{v}\}} \mathbf{E}[X_{\vec{v}} X_{\vec{w}}] \\ &\leq \mathbf{E}[Z] - \mathbf{E}^2[Z] + \sum_{\vec{v} \in V} \sum_{\vec{w} \in V - \{\vec{v}\}} \mathbf{E}[X_{\vec{v}} X_{\vec{w}}]. \end{aligned} \quad (6)$$

In the following, we estimate the last term of Inequality (6). For each  $\vec{v} = (x_1, x_2, y_1, y_2) \in V$ , we say that  $\vec{w} = (x'_1, x'_2, y'_1, y'_2) \in V$  is 2-common to  $\vec{v}$  if  $x_1 = x'_1, x_2 = x'_2$ ; 1-common to  $\vec{v}$  if  $x_1 = x'_1, x_2 \neq x'_2$  or  $x_1 \neq x'_1, x_2 = x'_2$ ; 0-common to  $\vec{v}$  if  $x_1 \neq x'_1, x_2 \neq x'_2$ . We note that  $x_1 = x'_2, x_2 = x'_1$  never occurs because of the assumption that  $x_1 < x_2$  and  $x'_1 < x'_2$ . We also notice that  $x_1 = x'_2, x_2 \neq x'_1$  or  $x_1 \neq x'_2, x_2 = x'_1$  never occurs, because if  $x_1 = x'_2, x_2 \neq x'_1$  or  $x_1 \neq x'_2, x_2 = x'_1$ , then  $F_1 \cap S_1 \neq \emptyset$  and this contradicts the fact that  $F_1 \cap S_1 = \emptyset$ . For each  $\vec{v} \in V$ , we use  $V_2(\vec{v})$  to denote the set of  $\vec{w} \in V - \{\vec{v}\}$  that is 2-common to  $\vec{v}$ ;  $V_1(\vec{v})$  to denote the set of  $\vec{w} \in V - \{\vec{v}\}$  that is 1-common to  $\vec{v}$ ;  $V_0(\vec{v})$  to denote the set of  $\vec{w} \in V - \{\vec{v}\}$  that is 0-common to  $\vec{v}$ . Then we have that

$$\begin{aligned} \sum_{\vec{v} \in V} \sum_{\vec{w} \in V_2(\vec{v})} \mathbf{E}[X_{\vec{v}} X_{\vec{w}}] &\leq \sum_{\vec{v} \in V} \frac{1}{m} \left(\frac{1}{m-n_1}\right)^4 \binom{n_2}{2} = \frac{1}{m} \left(\frac{1}{m-n_1}\right)^4 \binom{n_2}{2} N \\ &\leq \frac{1}{m} \left(\frac{1}{m-n}\right)^4 \binom{n_2}{2} N \leq \frac{c^4(1-\delta)^2 n^2 N}{2m^5}; \end{aligned} \quad (7)$$

$$\sum_{\vec{v} \in V} \sum_{\vec{w} \in V_1(\vec{v})} \mathbf{E}[X_{\vec{v}} X_{\vec{w}}] \leq \sum_{\vec{v} \in V} \frac{1}{m^2} \left(\frac{1}{m-n_1}\right)^4 2 \binom{n_1}{1} \binom{n_2}{2} = \frac{1}{m^2} \left(\frac{1}{m-n_1}\right)^4 2 \binom{n_1}{1} \binom{n_2}{2} N$$



$$\leq \frac{1}{m^2} \left( \frac{1}{m-n} \right)^4 2 \binom{n_1}{1} \binom{n_2}{2} N \leq \frac{c^4 \delta (1-\delta)^2 n^3 N}{m^6}; \quad (8)$$

$$\begin{aligned} \sum_{\vec{v} \in V} \sum_{\vec{w} \in V_0(\vec{v})} \mathbf{E}[X_{\vec{v}} X_{\vec{w}}] &= \sum_{\vec{v} \in V} \sum_{\vec{w} \in V_0(\vec{v})} \Pr[X_{\vec{v}} = 1 \wedge X_{\vec{w}} = 1] \\ &= \sum_{\vec{v} \in V} \Pr[X_{\vec{v}} = 1] \sum_{\vec{w} \in V_0(\vec{v})} \Pr[X_{\vec{w}} = 1 : X_{\vec{v}} = 1] \\ &= \sum_{\vec{v} \in V} \Pr[X_{\vec{v}} = 1] \sum_{\vec{w} \in V_0(\vec{v})} \Pr[X_{\vec{w}} = 1] \leq \mathbf{E}^2[Z]. \end{aligned} \quad (9)$$

Thus from Inequalities (5), (6), (7), (8), and (9), it follows that

$$\begin{aligned} \mathbf{Var}[Z] &\leq \mathbf{E}[Z] - \mathbf{E}^2[Z] + \sum_{\vec{v} \in V} \sum_{\vec{w} \in V - \{\vec{v}\}} \mathbf{E}[X_{\vec{v}} X_{\vec{w}}] \\ &= \mathbf{E}[Z] - \mathbf{E}^2[Z] + \sum_{\vec{v} \in V} \sum_{\vec{w} \in V_2(\vec{v})} \mathbf{E}[X_{\vec{v}} X_{\vec{w}}] + \sum_{\vec{v} \in V} \sum_{\vec{w} \in V_1(\vec{v})} \mathbf{E}[X_{\vec{v}} X_{\vec{w}}] + \sum_{\vec{v} \in V} \sum_{\vec{w} \in V_0(\vec{v})} \mathbf{E}[X_{\vec{v}} X_{\vec{w}}] \\ &\leq \mathbf{E}[Z] + \frac{c^4 (1-\delta)^2 n^2 N}{2m^5} + \frac{c^4 \delta (1-\delta)^2 n^3 N}{m^6} \\ &\leq \frac{c^2 N}{m^3} + \frac{c^4 (1-\delta)^2 n^2 N}{2m^5} + \frac{c^4 \delta (1-\delta)^2 n^3 N}{m^6} \\ &= \frac{c^2 N}{m^3} \left\{ 1 + \frac{c^2 (1-\delta)^2 n^2}{2m^2} + \frac{c^2 \delta (1-\delta)^2 n^3}{m^3} \right\} \\ &= \frac{c^2 N}{m^3} \left\{ 1 + \frac{c^2 (1-\delta)^2}{2\beta^2} + \frac{c^2 \delta (1-\delta)^2}{\beta^3} \right\} \leq \frac{dN}{m^3}, \end{aligned} \quad (10)$$

where  $d$  in Inequality (10) is a constant determined by the constants  $0 < \delta < 1$  and  $c > 1$ . Then from Inequalities (1), (2), (4), and (10), we finally have that

$$\Pr[Z = 0] \leq \frac{\mathbf{Var}[Z]}{\mathbf{E}^2[Z]} \leq \frac{dN}{m^3} \cdot \frac{m^6}{N^2} = \frac{dm^3}{N} \leq \frac{9d\beta^3 n^3}{\delta^2 (1-\delta)^2 n^4} = \frac{9d}{\delta^2 (1-\delta)^2} \cdot \frac{\beta^3}{n},$$

which implies that  $\Pr[Z = 0] = o(1)$  for any  $\beta$  such that  $\beta/n^{1/3} = o(1)$ . So it follows that if  $\beta/n^{1/3} = o(1)$ , then  $\Pr[Z > 0] = 1 - o(1)$ , i.e., a random instance of the 2-weighted matching problems does not have a 2-weighted popular matching with probability  $1 - o(1)$ .  $\blacksquare$

## 5 Upper Bounds for 2-Weighted Matching Problems

As we have shown in Theorem 4.1, a random instance of the 2-weighted matching problems have no constant threshold of  $\beta$  to admit 2-weighted popular matchings with high probability. In this section, we derive upper bounds for the threshold of  $\beta$  such that a random instance of the 2-weighted matching problems have 2-weighted popular matching with high probability. The following lemma plays a crucial role to derive upper bounds for the threshold of  $\beta$ .

**Lemma 5.1:** *For any  $\beta = 1/o(1)$ , a random instance of the 2-weighted matching problems includes a cycles as a subgraph with probability  $o(1)$ .*

**Proof:** For any  $\ell \geq 2$ , we use  $C_\ell$  to denote a cycle with  $\ell$  vertices and  $\ell$  edges and let  $E_\ell^{\text{cyc}}$  be the event that  $G$  includes  $C_\ell$ . Then from the assumption that  $m = \beta n$ , it follows that

$$\Pr[G \text{ includes a cycle}] = \Pr \left[ \bigcup_{\ell \geq 2} E_\ell \right] \leq \sum_{\ell \geq 2} \Pr[E_\ell]$$

$$\begin{aligned}
&\leq \sum_{\ell \geq 2} \left\{ \frac{1}{2\ell} \cdot \ell! \cdot \binom{m}{\ell} \cdot \ell! \cdot \binom{n}{\ell} \cdot \left( \frac{1}{m-n} \right)^{2\ell} \right\} \\
&\leq \sum_{\ell \geq 2} \left\{ \frac{1}{2\ell} \cdot m^\ell \cdot n^\ell \cdot \left( \frac{1}{\beta-1} \right)^{2\ell} \cdot \frac{1}{n^{2\ell}} \right\} \\
&= \sum_{\ell \geq 2} \frac{1}{2\ell} \cdot \left\{ \frac{\beta}{(\beta-1)^2} \right\}^\ell \leq \sum_{\ell \geq 2} \left\{ \frac{\beta}{(\beta-1)^2} \right\}^\ell.
\end{aligned}$$

For any  $\beta \geq 4$ , it is obvious that  $\beta/(\beta-1)^2 \leq 2/\beta$  and  $1/(\beta-2) \leq 2/\beta$ . Then we have that

$$\Pr[G \text{ includes cycles}] \leq \sum_{\ell \geq 2} \left\{ \frac{\beta}{(\beta-1)^2} \right\}^\ell \leq \sum_{\ell \geq 2} \left( \frac{2}{\beta} \right)^\ell = \frac{4}{\beta(\beta-2)} \leq \frac{8}{\beta^2}.$$

From the assumption that  $\beta = 1/o(1)$ , it follows that a random instance of the graphs  $G = (V, E)$  include cycles as subgraphs with probability  $o(1)$ .  $\blacksquare$

**Theorem 5.1:** *Let  $m = \beta n$ . If  $n^{1/3}/\beta = o(1)$ , then a random instance of the 2-weighted matching problems has a 2-weighted popular matching with probability  $1 - o(1)$ .*

**Proof:** From Lemma 5.1 and the assumption that  $n^{1/3}/\beta = o(1)$ , we can assume that a random instance of 2-weighted matching problems  $G = (V, E)$  includes bad subgraphs of type  $G_2$  or  $G_3$  in Figure 1 with probability  $o(1)$ . In the rest of the proof, we estimate the probability that a random instance of the graphs  $G = (V, E)$  includes a bad subgraph of type  $G_1$  in Figure 1.

For any  $\ell \geq 4$ , we use  $P_\ell$  to denote a path of type  $G_1$  with  $\ell+1$  vertices and  $\ell$  edges and let  $E_\ell^{\text{path}}$  be the event that  $G$  includes  $P_\ell$ . Then from the assumption that  $m = \beta n$ , it follows that

$$\begin{aligned}
\Pr[G \text{ includes a bad subgraph of type } G_1] &= \Pr \left[ \bigcup_{\ell \geq 4} E_\ell^{\text{path}} \right] \\
&\leq \sum_{\ell \geq 4} \Pr[E_\ell^{\text{path}}] \leq \sum_{\ell \geq 4} \left\{ \frac{1}{(m-n)^{2\ell}} \cdot (\ell+1)! \cdot \binom{m}{\ell+1} \cdot \ell! \cdot \binom{n}{\ell} \right\} \\
&\leq \sum_{\ell \geq 4} \frac{\beta^{\ell+1}}{(\beta-1)^{2\ell}} \cdot n = \frac{\beta^5}{(\beta-1)^8} \cdot n \cdot \sum_{h \geq 0} \left\{ \frac{\beta}{(\beta-1)^2} \right\}^h.
\end{aligned}$$

For any  $\beta \geq 4$ , it is obvious that  $\beta/(\beta-1)^2 \leq 2/\beta$  and  $\beta/(\beta-2) \leq 2$ . Then we have that

$$\begin{aligned}
&\Pr[G \text{ includes a bad subgraph of type } G_1] \\
&\leq \frac{\beta^5}{(\beta-1)^8} \cdot n \cdot \sum_{h \geq 0} \left\{ \frac{\beta}{(\beta-1)^2} \right\}^h \leq n \cdot \beta \cdot \left( \frac{2}{\beta} \right)^4 \cdot \sum_{h \geq 0} \left( \frac{2}{\beta} \right)^h \\
&\leq n \cdot \frac{16}{\beta^3} \cdot \frac{\beta}{\beta-2} \leq n \cdot \frac{32}{\beta^3}.
\end{aligned}$$

From the assumption that  $n^{1/3}/\beta = o(1)$ , it follows that a random instance of the graphs  $G = (V, E)$  includes a bad subgraph of type  $G_1$  with probability  $o(1)$ . Thus from Lemma 5.1 and Corollary 3.1, we have that if  $n^{1/3}/\beta = o(1)$ , then a random instance of the 2-weighted matching problems has a 2-weighted popular matching with probability  $1 - o(1)$ .  $\blacksquare$

## 6 Concluding Remarks

In this paper, we have analyzed the 2-weighted matching problems, and have shown that for any  $\beta$  such that  $m = \beta n$ , (Theorem 4.1) if  $\beta/n^{1/3} = o(1)$ , then a random instance of the 2-weighted matching problems does not have a 2-weighted popular matching with probability  $1 - o(1)$ ; and (Theorem 5.1) if  $n^{1/3}/\beta = o(1)$ , then a random instance of the 2-weighted matching problems has a 2-weighted popular matching with probability  $1 - o(1)$ . These results imply that there exists a threshold  $\beta = O(n^{1/3})$  to admit 2-weighted popular matchings with high probability, which is quite different to the case for the (1-weighted) matching problems shown by Mahdian [7]. In a way similar to the proof of Theorem 4.1, we can show the following lower bounds of  $\beta$  for any integer  $k \geq 2$ , i.e.,

**Theorem 6.1:** *Let  $m = \beta n$ . If  $\beta/n^{1/3} = o(1)$ , then a random instance of the  $k$ -weighted matching problems does not have a  $k$ -weighted popular matching with probability  $1 - o(1)$ .*

Then one of the interesting problems would be the upper bounds of  $\beta$  for any integer  $k \geq 2$ , i.e.,

- For any integer  $k \geq 2$ , show upper bounds of  $\beta$  for which a random instance of the  $k$ -weighted matching problems has a  $k$ -weighted popular matching with probability  $1 - o(1)$ .

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